

FORM L-1-A : Revenue Account

Name of the Insurer: DLF PRAMERICA LIFE INSURANCE CO. LTD.
Registration No. 140 ; Date of Registration with the IRDA: June 27, 2008

REVENUE ACCOUNT FOR THE QUARTER ENDED SEPTEMBER 30, 2010

Policyholders' Account (Technical Account)***

Rs '000

| Particulars | Schedule | FOR THE QUARTER ENDED ON SEPTEMBER 2010 | | | | | UP TO THE QUARTER ENDED ON SEPTEMBER 2010 | | | | | FOR THE QUARTER ENDED ON SEPTEMBER 2009 | | | | | UP TO THE QUARTER ENDED ON SEPTEMBER 2009 | | | | |
|--|----------|---|-------------|--------------------------|--------------------|----------------|---|--------------|--------------------------|--------------------|------------------|---|----------------|--------------------------|--------------------|------------------|---|----------------|--------------------------|--------------------|------------------|
| | | Non Participating (Non-Linked) | | Non Participating Linked | | Total | Non Participating (Non-Linked) | | Non Participating Linked | | Total | Non Participating (Non-Linked) | | Non Participating Linked | | Total | Non Participating (Non-Linked) | | Non Participating Linked | | Total |
| | | Individual Life | Group Life | Individual Life | Individual Pension | | Individual Life | Group Life | Individual Life | Individual Pension | | Individual Life | Group Life | Individual Life | Individual Pension | | Individual Life | Group Life | Individual Life | Individual Pension | |
| Premiums earned – net | | | | | | | | | | | | | | | | | | | | | |
| (a) Premium | L-4 | 23,079 | - | 157,541 | 48,853 | 229,473 | 31,748 | - | 285,000 | 86,387 | 403,135 | 649 | 65 | 36,214 | 17,914 | 54,842 | 1,185 | 65 | 61,391 | 35,769 | 98,410 |
| (b) Reinsurance ceded | | (147) | - | (510) | - | (657) | (200) | - | (871) | - | (1,071) | (93) | - | (12) | - | (105) | (117) | - | (20) | - | (137) |
| (c) Reinsurance accepted- | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Income from Investments | | | | | | | | | | | | | | | | | | | | | |
| (a) Interest, Dividends & Rent – Gross | | 212 | 4 | 2,876 | 1,386 | 4,478 | 432 | 8 | 5,085 | 2,648 | 8,173 | 19 | - | 453 | 531 | 1,003 | 37 | - | 722 | 969 | 1,728 |
| (b) Profit on sale/redemption of investments | | - | - | 4,696 | 3,159 | 7,855 | - | - | 5,108 | 3,596 | 8,704 | - | - | 1,384 | 1,238 | 2,622 | - | - | 1,570 | 1,472 | 3,042 |
| (c) (Loss on sale/redemption of investments) | | - | - | (920) | (1,426) | (2,346) | - | - | (926) | (1,517) | (2,443) | - | - | (581) | (764) | (1,345) | - | - | (683) | (766) | (1,449) |
| (d) Transfer/Gain on revaluation/change in fair value* | | - | - | 29,566 | 20,334 | 49,900 | - | - | 31,102 | 20,935 | 52,037 | - | - | 3,053 | 2,746 | 5,799 | - | - | 5,923 | 4,775 | 10,698 |
| (e) Amortisation of discount/(premium) | | (34) | - | (29) | (3) | (66) | (61) | - | (33) | (3) | (97) | 1 | - | - | - | 1 | 2 | - | - | - | 2 |
| (f) Appropriation/ Expropriation Adjustment Account | | - | - | 336 | 202 | 538 | - | - | 536 | 311 | 847 | - | - | 154 | 126 | 280 | - | - | 154 | 126 | 280 |
| Transferred from Shareholders' Fund | | 1,460 | 127 | 221,702 | 72,486 | 295,776 | 9,221 | 232 | 424,349 | 136,783 | 570,585 | - | - | - | - | - | - | - | - | - | - |
| Other Income (to be specified) | | | | | | | | | | | | | | | | | | | | | |
| (a) Fees & Charges | | 3 | - | - | - | 3 | 7 | - | - | - | 7 | - | - | - | - | - | - | - | - | - | - |
| TOTAL (A) | | 24,573 | 131 | 415,258 | 144,991 | 584,954 | 41,147 | 240 | 749,350 | 249,140 | 1,039,877 | 576 | 65 | 40,665 | 21,791 | 63,097 | 1,107 | 65 | 69,057 | 42,345 | 112,574 |
| Commission | L-5 | 5,306 | - | 18,627 | 2,031 | 25,964 | 7,212 | - | 35,531 | 3,555 | 46,298 | 68 | - | 4,942 | 638 | 5,648 | 110 | - | 7,410 | 1,104 | 8,624 |
| Operating Expenses related to Insurance Business | L-6 | 14,852 | 182 | 273,958 | 79,983 | 368,975 | 28,050 | 343 | 517,411 | 151,043 | 696,847 | 56,422 | 1,328 | 119,227 | 75,193 | 252,170 | 96,627 | 2,274 | 204,200 | 128,832 | 431,933 |
| Provision for doubtful debts | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Bad debts written off | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Provision for Tax | | - | - | - | - | - | - | - | - | - | - | (216) | (5) | (456) | (288) | (965) | - | - | - | - | - |
| Provisions (other than taxation) | | | | | | | | | | | | | | | | | | | | | |
| (a) For diminution in the value of investments (Net) | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (b) Others (to be specified) | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| TOTAL (B) | | 20,158 | 182 | 292,585 | 82,014 | 394,939 | 35,262 | 343 | 552,942 | 154,598 | 743,145 | 56,274 | 1,323 | 123,713 | 75,543 | 256,853 | 96,737 | 2,274 | 211,610 | 129,936 | 440,557 |
| Benefits Paid (Net) | L-7 | 1,852 | - | 2,493 | 683 | 5,028 | 1,993 | - | 2,561 | 726 | 5,280 | - | - | 100 | - | 100 | - | - | 100 | 2 | 102 |
| Bonuses Paid | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Change in valuation of liability in respect of life policies | | | | | | | | | | | | | | | | | | | | | |
| (a) Gross** | | 2,563 | (51) | 120,180 | 62,294 | 184,987 | 3,892 | (103) | 193,847 | 93,816 | 291,452 | (10) | 33 | 27,794 | 17,297 | 45,114 | 29 | - | 48,724 | 33,592 | 82,345 |
| (b) Amount ceded in Reinsurance | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (c) Amount accepted in Reinsurance | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| TOTAL (C) | | 4,415 | (51) | 122,673 | 62,977 | 190,015 | 5,885 | (103) | 196,408 | 94,542 | 296,732 | (10) | 33 | 27,894 | 17,297 | 45,214 | 29 | - | 48,824 | 33,594 | 82,447 |
| SURPLUS/ (DEFICIT) (D) =(A)-(B)-(C) | | - | - | - | - | - | - | - | - | - | - | (55,688) | (1,291) | (110,942) | (71,049) | (238,970) | (95,659) | (2,209) | (191,377) | (121,185) | (410,430) |
| APPROPRIATIONS | | | | | | | | | | | | | | | | | | | | | |
| Transfer to Shareholders' Account | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Transfer to Other Reserves (to be specified) | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Balance being Funds for Future Appropriations | | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| TOTAL (D) | | - | - | - | - | - | - | - | - | - | - | (55,688) | (1,291) | (110,942) | (71,049) | (238,970) | (95,659) | (2,209) | (191,377) | (121,185) | (410,430) |

Notes:

* Represents the deemed realised gain as per norms specified by the Authority.

** R represents Mathematical Reserves after allocation of bonus

*** Please refer regulation (1) of Part V - Preparation of Financial statement of IRDA(Accounting) Regulations, 2002

FORM L-2-A : Profit & Loss

Name of the Insurer: DLF PRAMERICA LIFE INSURANCE CO. LTD.

Registration No. 140 ; Date of Registration with the IRDA: June 27, 2008

PROFIT & LOSS ACCOUNT FOR THE PERIOD ENDED SEPTEMBER 30, 2010

Shareholders' Account (Non-technical Account)

(Rs.'000).

| Particulars | Schedule | FOR THE QUARTER ENDED ON SEPTEMBER 2010 | UP TO THE QUARTER ENDED ON SEPTEMBER 2010 | FOR THE QUARTER ENDED ON SEPTEMBER 2009 | UP TO THE PERIOD ENDED ON SEPTEMBER 2009 |
|---|----------|--|--|--|---|
| Amounts transferred from/to the Policyholders Account (Technical Account) | | - | - | - | - |
| Income From Investments | | | | | |
| (a) Interest, Dividends & Rent – Gross | | 17,507 | 35,543 | 14,658 | 26,901 |
| (b) Profit on sale/redemption of investments | | 5,049 | 5,049 | (7,220) | - |
| (c) (Loss on sale/ redemption of investments) | | (2,351) | (13) | 8,028 | 8,028 |
| (d) Amortisation of discount/(premium) | | (1,319) | (3,504) | (1,333) | (1,856) |
| Other Income (To be specified) | | - | - | - | - |
| TOTAL (A) | | 18,886 | 37,075 | 14,133 | 33,073 |
| Expense other than those directly related to the insurance business: | | 3,790 | 9,425 | (84) | 879 |
| Bad debts written off | | - | - | - | - |
| Transfer to Policyholders' fund | | 295,776 | 570,585 | - | - |
| Provisions (Other than taxation) | | - | - | - | - |
| (a) For diminution in the value of investments (Net) | | - | - | - | - |
| (b) Provision for doubtful debts | | - | - | - | - |
| (c) Others (to be specified) | | - | - | - | - |
| TOTAL (B) | | 299,566 | 580,010 | (84) | 879 |
| Profit/ (Loss) before tax | | (280,679) | (542,935) | 14,217 | 32,194 |
| Provision for Taxation | | - | - | - | - |
| Profit / (Loss) after tax | | (280,679) | (542,935) | 14,217 | 32,194 |
| APPROPRIATIONS | | - | - | - | - |
| (a) Balance at the beginning of the year. | | - | (1,377,489) | - | (444,601) |
| (b) Interim dividends paid during the year | | - | - | - | - |
| (c) Proposed final dividend | | - | - | - | - |
| (d) Dividend distribution on tax | | - | - | - | - |
| (e) Transfer to reserves/ other accounts (to be specified) | | - | - | - | - |
| Profit carried -----to the Balance Sheet | | (280,680) | (1,920,424) | 14,217 | (412,407) |

FORM L-3-A : Balance Sheet

Name of the Insurer: **DLF PRAMERICA LIFE INSURANCE CO. LTD.**

Registration No. 140; Date of Registration with the IRDA: June 27, 2008

BALANCE SHEET AS AT SEPTEMBER 30, 2010

(Rs.'000).

| Particulars | Schedule | As at September 30, 2010 | As at September 30, 2009 |
|---|----------------|--------------------------|--------------------------|
| SOURCES OF FUNDS | | | |
| <i>SHAREHOLDERS' FUNDS:</i> | | | |
| SHARE CAPITAL | L-8,L-9 | 2,710,158 | 1,623,041 |
| Share Application Money | | 761 | - |
| RESERVES AND SURPLUS | L-10 | 141,594 | - |
| CREDIT/[DEBIT] FAIR VALUE CHANGE ACCOUNT | | 217 | 29 |
| Sub-Total | | 2,852,730 | 1,623,070 |
| BORROWINGS | L-11 | - | - |
| <i>POLICYHOLDERS' FUNDS:</i> | | | |
| CREDIT/[DEBIT] FAIR VALUE CHANGE ACCOUNT | | - | - |
| POLICY LIABILITIES | | 15,221 | 1,367 |
| INSURANCE RESERVES | | - | - |
| PROVISION FOR LINKED LIABILITIES | | 594,239 | 106,888 |
| Sub-Total | | 609,460 | 108,255 |
| FUNDS FOR FUTURE APPROPRIATIONS | | | |
| TOTAL | | 3,462,190 | 1,731,325 |
| APPLICATION OF FUNDS | | | |
| INVESTMENTS | | | |
| Shareholders' | L-12 | 943,688 | 761,094 |
| Policyholders' | L-13 | 21,417 | 3,065 |
| ASSETS HELD TO COVER LINKED LIABILITIES | L-14 | 594,239 | 106,888 |
| LOANS | L-15 | - | - |
| FIXED ASSETS | L-16 | 49,793 | 58,787 |
| CURRENT ASSETS | | | |
| Cash and Bank Balances | L-17 | 45,327 | 12,994 |
| Advances and Other Assets | L-18 | 215,199 | 121,630 |
| Sub-Total (A) | | 260,526 | 134,624 |
| CURRENT LIABILITIES | L-19 | 312,995 | 144,997 |
| PROVISIONS | L-20 | 14,902 | 10,973 |
| Sub-Total (B) | | 327,897 | 155,970 |
| NET CURRENT ASSETS (C) = (A - B) | | (67,371) | (21,346) |
| MISCELLANEOUS EXPENDITURE (to the extent not written off or adjusted) | L-21 | | |
| DEBIT BALANCE IN PROFIT & LOSS ACCOUNT (Shareholders' Account) | | 1,920,424 | 412,407 |
| Debit Balance of Revenue Account | | - | 410,430 |

CONTINGENT LIABILITIES

(Rs.'000)

| Particulars | | | |
|---|--|--|---|
| 1 Partly paid-up investments | | | - |
| 2 Claims, other than against policies, not acknowledged as debts by the company | | | - |
| 3 Underwriting commitments outstanding (in respect of shares and securities) | | | - |
| 4 Guarantees given by or on behalf of the Company | | | - |
| 5 Statutory demands/ liabilities in dispute, not provided for | | | - |
| 6 Reinsurance obligations to the extent not provided for in accounts | | | - |
| 7 Others (to be specified) | | | - |
| TOTAL | | | - |

FORM L-4 : PREMIUM SCHEDULE

(Rs.'000).

PREMIUM

| | | FOR THE QUARTER ENDED ON SEPTEMBER 2010 | | | | | FOR THE PERIOD ENDED ON SEPTEMBER 2010 | | | | | FOR THE QUARTER ENDED ON SEPTEMBER 2009 | | | | | UP TO THE QUARTER ENDED ON SEPTEMBER 2009 | | | | |
|-------------|--------------------------------|---|---|--------------------|--------|--------------------------------|--|---|--------------------|--------|--------------------------------|---|---|--------------------|--------|--------------------------------|---|---|--------------------|--------|--------|
| Particulars | Non Participating (Non-Linked) | | Non Participating Linked (Refer Annexures to Revenue Account) | | Total | Non Participating (Non-Linked) | | Non Participating Linked (Refer Annexures to Revenue Account) | | Total | Non Participating (Non-Linked) | | Non Participating Linked (Refer Annexures to Revenue Account) | | Total | Non Participating (Non-Linked) | | Non Participating Linked (Refer Annexures to Revenue Account) | | Total | |
| | Individual Life | Group Life | Individual Life | Individual Pension | | Individual Life | Group Life | Individual Life | Individual Pension | | Individual Life | Group Life | Individual Life | Individual Pension | | Individual Life | Group Life | Individual Life | Individual Pension | | |
| 1 | First year premiums | 22,841 | - | 136,246 | 34,640 | 193,727 | 31,289 | - | 249,577 | 61,799 | 342,665 | 649 | 65 | 36,011 | 17,870 | 54,595 | 1,185 | 65 | 61,188 | 35,515 | 97,953 |
| 2 | Renewal Premiums | 238 | - | 19,706 | 12,768 | 32,712 | 459 | - | 31,017 | 20,988 | 52,464 | 0 | - | 203 | 0 | 203 | 0 | - | 203 | 0 | 203 |
| 3 | Single Premiums | - | - | 1,590 | 1,445 | 3,035 | - | - | 4,406 | 3,600 | 8,006 | - | - | - | 44 | 44 | - | - | - | 254 | 254 |
| | TOTAL PREMIUM | 23,079 | - | 157,541 | 48,853 | 229,473 | 31,748 | - | 285,000 | 86,387 | 403,135 | 649 | 65 | 36,214 | 17,914 | 54,842 | 1,185 | 65 | 61,391 | 35,769 | 98,410 |



FORM L-5 : COMMISSION SCHEDULE

(Rs.'000).

COMMISSION EXPENSES

| Particulars | FOR THE QUARTER ENDED ON SEPTEMBER 2010 | | | | | FOR THE PERIOD ENDED ON SEPTEMBER 2010 | | | | | FOR THE QUARTER ENDED ON SEPTEMBER 2009 | | | | | FOR THE PERIOD ENDED ON SEPTEMBER 2009 | | | | |
|--|---|------------|---|--------------------|---------------|--|------------|---|--------------------|---------------|---|------------|---|--------------------|--------------|--|------------|---|--------------------|--------------|
| | Non Participating (Non-Linked) | | Non Participating Linked (Refer Annexures to Revenue Account) | | Total | Non Participating (Non-Linked) | | Non Participating Linked (Refer Annexures to Revenue Account) | | Total | Non Participating (Non-Linked) | | Non Participating Linked (Refer Annexures to Revenue Account) | | Total | Non Participating (Non-Linked) | | Non Participating Linked (Refer Annexures to Revenue Account) | | Total |
| | Individual Life | Group Life | Individual Life | Individual Pension | | Individual Life | Group Life | Individual Life | Individual Pension | | Individual Life | Group Life | Individual Life | Individual Pension | | Individual Life | Group Life | Individual Life | Individual Pension | |
| Commission paid | | | | | | | | | | | | | | | | | | | | |
| Direct – First year premiums | 5,303 | - | 18,278 | 1,870 | 25,451 | 7,209 | - | 35,011 | 3,305 | 45,525 | 68 | - | 4,942 | 638 | 5,648 | 110 | - | 7,410 | 1,104 | 8,624 |
| - Renewal premiums | 2 | - | 321 | 138 | 460 | 3 | - | 450 | 191 | 644 | - | - | - | - | - | - | - | - | - | - |
| - Single premiums | - | - | 28 | 23 | 51 | - | - | 70 | 59 | 129 | - | - | - | - | - | - | - | - | - | - |
| Total (A) | 5,305 | - | 18,627 | 2,031 | 25,963 | 7,212 | - | 35,531 | 3,555 | 46,298 | 68 | - | 4,942 | 638 | 5,648 | 110 | - | 7,410 | 1,104 | 8,624 |
| Add: Commission on Re-insurance Accepted | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Less: Commission on Re-insurance Ceded | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Net Commission | 5,305 | - | 18,627 | 2,031 | 25,963 | 7,212 | - | 35,531 | 3,555 | 46,298 | 68 | - | 4,942 | 638 | 5,648 | 110 | - | 7,410 | 1,104 | 8,624 |
| Break-up of the expenses (Gross) incurred to procure business to be furnished as per details indicated below: | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | - | - | - | - | - | 0 | 0 | 0 | 0 | 0 |
| Agents | 3,665 | - | 10,807 | 1,787 | 16,259 | 5,396 | - | 19,244 | 3,255 | 27,895 | 68 | - | 4,942 | 638 | 5,648 | 105 | - | 6,363 | 1,104 | 7,572 |
| Brokers | 132 | - | 1,025 | 14 | 1,171 | 162 | - | 1,595 | 17 | 1,774 | - | - | - | - | - | - | - | - | - | - |
| Corporate Agency | 1,508 | - | 6,794 | 230 | 8,533 | 1,654 | - | 14,692 | 283 | 16,629 | - | - | - | - | - | 5 | - | 1,047 | - | 1,052 |
| Referral | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| Others (pl. specify) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| TOTAL (B) | 5,305 | - | 18,627 | 2,031 | 25,963 | 7,212 | - | 35,531 | 3,555 | 46,298 | 68 | - | 4,942 | 638 | 5,648 | 110 | - | 7,410 | 1,104 | 8,624 |

LIFE INSURANCE

FORM L-6 : OPERATING EXPENSES SCHEDULE

(Rs. '000)

OPERATING EXPENSES RELATED TO INSURANCE BUSINESS

| Particulars | FOR THE QUARTER ENDED ON SEPTEMBER 30, 2010 | | | | | UPTO THE PERIOD ENDED ON SEPTEMBER 30, 2010 | | | | | FOR THE QUARTER ENDED ON SEPTEMBER 30, 2009 | | | | | UP TO THE PERIOD ENDED ON SEPTEMBER 30, 2009 | | | | |
|--|---|------------|---|--------------------|----------------|---|------------|---|--------------------|----------------|---|--------------|---|--------------------|----------------|--|--------------|---|--------------------|----------------|
| | Non Participating (Non-Linked) | | Non Participating Linked (Refer Annexures to Revenue Account) | | Total | Non Participating (Non-Linked) | | Non Participating Linked (Refer Annexures to Revenue Account) | | Total | Non Participating (Non-Linked) | | Non Participating Linked (Refer Annexures to Revenue Account) | | Total | Non Participating (Non-Linked) | | Non Participating Linked (Refer Annexures to Revenue Account) | | Total |
| | Individual Life | Group Life | Individual Life | Individual Pension | | Individual Life | Group Life | Individual Life | Individual Pension | | Individual Life | Group Life | Individual Life | Individual Pension | | Individual Life | Group Life | Individual Life | Individual Pension | |
| 1 Employees remuneration and welfare benefits | 7,645 | 94 | 141,017 | 41,260 | 190,016 | 14,418 | 178 | 265,949 | 77,813 | 358,358 | 32,061 | 756 | 67,764 | 42,812 | 143,393 | 51,853 | 1,222 | 109,596 | 69,240 | 231,911 |
| 2 Travel, conveyance and vehicle running expenses | 474 | 6 | 8,742 | 2,558 | 11,780 | 895 | 11 | 16,507 | 4,830 | 22,243 | 1,811 | 42 | 3,828 | 2,419 | 8,100 | 3,453 | 81 | 7,298 | 4,611 | 15,443 |
| 3 Training expenses (including Agent advisors) | 182 | 2 | 3,358 | 983 | 4,525 | 325 | 4 | 5,994 | 1,754 | 8,077 | 1,325 | 31 | 2,800 | 1,769 | 5,925 | 2,381 | 56 | 5,032 | 3,179 | 10,648 |
| 4 Rent, rates & taxes | 1,457 | 18 | 26,877 | 7,864 | 36,216 | 2,875 | 35 | 53,033 | 15,517 | 71,460 | 6,623 | 156 | 13,998 | 8,844 | 29,621 | 11,285 | 266 | 23,851 | 15,069 | 50,471 |
| 5 Repairs & Maintenance | 409 | 5 | 7,538 | 2,205 | 10,157 | 894 | 11 | 16,491 | 4,825 | 22,221 | 1,977 | 47 | 4,179 | 2,640 | 8,843 | 4,138 | 98 | 8,745 | 5,525 | 18,506 |
| 6 Printing and stationery | 48 | - | 883 | 258 | 1,189 | 109 | 1 | 2,007 | 587 | 2,704 | 94 | 2 | 200 | 126 | 422 | 443 | 10 | 937 | 592 | 1,982 |
| 7 Communication expenses | 157 | 2 | 2,904 | 849 | 3,912 | 326 | 4 | 6,020 | 1,761 | 8,111 | 714 | 17 | 1,509 | 953 | 3,193 | 1,525 | 36 | 3,223 | 2,036 | 6,820 |
| 8 Legal, professional and consultancy charges | 374 | 5 | 6,900 | 2,018 | 9,297 | 704 | 9 | 12,979 | 3,797 | 17,489 | 1,086 | 25 | 2,297 | 1,451 | 4,859 | 3,030 | 71 | 6,405 | 4,046 | 13,552 |
| 9 Medical fees | 33 | - | 598 | - | 631 | 64 | - | 1,178 | - | 1,242 | 64 | - | 136 | - | 200 | 97 | - | 206 | - | 303 |
| 10 Auditors' fees, expenses etc : | | | | | | | | | | | | | | | | | | | | |
| (a) as auditor | 14 | - | 279 | 82 | 375 | 30 | - | 557 | 163 | 750 | 51 | 1 | 108 | 68 | 228 | 134 | 3 | 284 | 179 | 600 |
| (b) as adviser | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (i) Taxation matters | 2 | 0 | 28 | 8 | 38 | 3 | 0 | 56 | 16 | 75 | 37 | 1 | 79 | 50 | 167 | 37 | 1 | 79 | 50 | 167 |
| (ii) Insurance matters | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (iii) Management services; and | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (c) in any other capacity (including out of pocket expenses) | 4 | - | 64 | 18 | 86 | 6 | - | 104 | 30 | 140 | 21 | - | 43 | 27 | 91 | 21 | - | 43 | 27 | 91 |
| 11 Advertisement and publicity | 730 | 9 | 13,459 | 3,938 | 18,136 | 1,819 | 22 | 33,545 | 9,815 | 45,201 | 903 | 22 | 1,909 | 1,205 | 4,039 | 1,770 | 42 | 3,741 | 2,363 | 7,916 |
| 12 Interest and bank charges | 51 | - | 937 | 275 | 1,263 | 98 | 1 | 1,807 | 529 | 2,435 | 80 | 2 | 168 | 106 | 356 | 262 | 6 | 554 | 350 | 1,172 |
| 13 Investment related charges | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| 14 Postage and courier cost | 70 | 1 | 1,285 | 376 | 1,732 | 166 | 2 | 3,062 | 896 | 4,126 | 234 | 5 | 495 | 313 | 1,047 | 400 | 9 | 845 | 534 | 1,788 |
| 15 Sales Promotion expenses | 918 | 11 | 16,935 | 4,955 | 22,819 | 1,327 | 16 | 24,483 | 7,163 | 32,989 | 2,274 | 54 | 4,807 | 3,037 | 10,172 | 3,970 | 94 | 8,391 | 5,301 | 17,756 |
| 16 Information technology expenses | - | - | - | - | - | - | - | - | - | - | 31 | 1 | 64 | 40 | 136 | 86 | 2 | 181 | 114 | 383 |
| 17 Recruitment (including Agent advisors) | 151 | 2 | 2,784 | 815 | 3,752 | 256 | 3 | 4,725 | 1,383 | 6,367 | 2,109 | 50 | 4,459 | 2,817 | 9,435 | 3,045 | 72 | 6,437 | 4,067 | 13,621 |
| 18 Electricity ,water and utilities | 131 | 1 | 2,421 | 709 | 3,262 | 261 | 3 | 4,818 | 1,410 | 6,492 | 412 | 10 | 869 | 549 | 1,840 | 675 | 16 | 1,426 | 901 | 3,018 |
| 19 Policy issuance and servicing costs | 1,533 | 19 | 28,281 | 8,274 | 38,107 | 2,382 | 29 | 43,944 | 12,857 | 59,212 | 1,763 | 41 | 3,725 | 2,354 | 7,883 | 4,048 | 95 | 8,555 | 5,405 | 18,103 |
| 20 (Profit)/Loss on fluctuation in foreign exchange | - | - | (5) | (1) | (6) | - | - | (4) | (1) | (5) | - | - | - | - | - | (19) | - | (40) | (26) | (85) |
| 21 (Profit)/Loss on fixed assets | 1 | - | 21 | 6 | 28 | 1 | - | 22 | 6 | 29 | - | - | - | - | - | (676) | (16) | (1,429) | (903) | (3,024) |
| 22 Service Tax expense | 30 | 1 | 556 | 163 | 750 | 297 | 4 | 5,481 | 1,604 | 7,386 | - | - | - | - | - | - | - | - | - | - |
| 23 Other miscellaneous expenses | 132 | 2 | 2,439 | 715 | 3,288 | 230 | 3 | 4,206 | 1,231 | 5,670 | 1,058 | 25 | 2,211 | 1,352 | 4,646 | 1,272 | 30 | 2,662 | 1,637 | 5,601 |
| 24 Depreciation | 306 | 4 | 5,657 | 1,655 | 7,622 | 564 | 7 | 10,447 | 3,057 | 14,075 | 1,694 | 40 | 3,579 | 2,261 | 7,574 | 3,397 | 80 | 7,178 | 4,535 | 15,190 |
| TOTAL | 14,852 | 182 | 273,958 | 79,983 | 368,975 | 28,050 | 343 | 517,411 | 151,043 | 696,847 | 56,422 | 1,328 | 119,227 | 75,193 | 252,171 | 96,627 | 2,274 | 204,200 | 128,832 | 431,933 |

LIFE INSURANCE

FORM L-7 : BENEFITS PAID SCHEDULE

| BENEFITS PAID [NET] | | | | | | | | | | | | | | | | | | | | |
|------------------------------------|---|------------|--|--------------------|--------------|---|------------|--|--------------------|--------------|---|------------|--|--------------------|------------|---|------------|--|--------------------|------------|
| (Rs.'000). | | | | | | | | | | | | | | | | | | | | |
| Particulars | FOR THE QUARTER ENDED ON SEPTEMBER 30, 2010 | | | | | UP TO THE QUARTER ENDED ON SEPTEMBER 30, 2010 | | | | | FOR THE QUARTER ENDED ON SEPTEMBER 30, 2009 | | | | | UP TO THE QUARTER ENDED ON SEPTEMBER 30, 2009 | | | | |
| | Non Participating (Non-Linked) | | Non Participating Linked (Refer Annexures to | | Total | Non Participating (Non-Linked) | | Non Participating Linked (Refer Annexures to | | Total | Non Participating (Non-Linked) | | Non Participating Linked (Refer Annexures to | | Total | Non Participating (Non-Linked) | | Non Participating Linked (Refer Annexures to | | Total |
| | Individual Life | Group Life | Individual Life | Individual Pension | | Individual Life | Group Life | Individual Life | Individual Pension | | Individual Life | Group Life | Individual Life | Individual Pension | | Individual Life | Group Life | Individual Life | Individual Pension | |
| 1. Insurance Claims | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (a) Claims by Death, | 1,852 | - | 2,531 | 683 | 5,066 | 1,993 | - | 2,068 | 726 | 4,787 | - | - | 100 | - | 100 | - | - | 100 | 2 | 102 |
| (b) Claims by Maturity, | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (c) Annuities/Pension payment, | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (d) Periodical Benefit | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (e) Health | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (f) any other (please specify) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | 1,852 | - | 2,531 | 683 | 5,066 | 1,993 | - | 2,068 | 726 | 4,787 | - | - | 100 | - | 100 | - | - | 100 | 2 | 102 |
| 2. (Amount ceded in reinsurance): | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (a) Claims by Death, | - | - | (39) | - | (39) | - | - | 493 | - | 493 | - | - | - | - | - | - | - | - | - | - |
| (b) Claims by Maturity, | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (c) Annuities/Pension payment, | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (d) Periodical Benefit | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (e) Health | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (f) any other (please specify) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | - | - | (39) | - | (39) | - | - | 493 | - | 493 | - | - | - | - | - | - | - | - | - | - |
| 3. Amount accepted in reinsurance: | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (a) Claims by Death, | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (b) Claims by Maturity, | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (c) Annuities/Pension payment, | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (d) Periodical Benefit | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (e) Health | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| (f) any other (please specify) | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| TOTAL | 1,852 | - | 2,493 | 683 | 5,028 | 1,993 | - | 2,561 | 726 | 5,280 | - | - | 100 | - | 100 | - | - | 100 | 2 | 102 |

FORM L-8 : SHARE CAPITAL SCHEDULE

SHARE CAPITAL

(Rs.'000)

| | Particulars | As at September 30, 2010 | As at September 30, 2009 |
|---|---|--------------------------|--------------------------|
| 1 | Authorised Capital | 20,000,000 | 20,000,000 |
| | 2,000,000,000 Equity Shares (Previous Year: 2,000,000,00 Equity Shares) of Rs 10 each | | |
| 2 | Issued Capital | 2,710,158 | 1,623,041 |
| | 259,304,054 Equity Shares (Previous Year: 137,054,054 Equity Shares) of Rs 10 each | | |
| 3 | Subscribed Capital | 2,710,158 | 1,623,041 |
| | 259,304,054 Equity Shares (Previous Year: 137,054,054 Equity Shares) of Rs 10 each | | |
| 4 | Called-up Capital | 2,710,158 | 1,623,041 |
| | 259,304,054 Equity Shares (Previous Year: 137,054,054 Equity Shares) of Rs 10 each | | |
| | Less : Calls unpaid | | |
| | Add : Shares forfeited (Amount originally paid up) | | |
| | Less : Par value of Equity Shares bought back | | |
| | Less : Preliminary Expenses | | |
| | Expenses including commission or brokerage on | | |
| | Underwriting or subscription of shares | | |
| | TOTAL | 2,710,158 | 1,623,041 |

LIFE INSURANCE

FORM L-9 : PATTERN OF SHAREHOLDING SCHEDULE

PATTERN OF SHAREHOLDING : [As certified by the Management]

| Shareholder | As at September 30, 2010 | | As at September 30, 2009 | |
|-------------|--------------------------|--------------|--------------------------|--------------|
| | Number of Shares | % of Holding | Number of Shares | % of Holding |
| Promoters | | | | |
| · Indian | 200,551,658 | 74% | 120,105,000 | 74% |
| · Foreign | 70,464,096 | 26% | 42,199,054 | 26% |
| Others | | | - | |
| TOTAL | 271,015,754 | 100% | 162,304,054 | 100% |



FORM L-10-RESERVES AND SURPLUS SCHEDULE

RESERVES AND SURPLUS

(Rs.'000)

| | Particulars | As at September 30, 2010 | As at September 30, 2009 |
|---|--|--------------------------|--------------------------|
| 1 | Capital Reserve | - | - |
| 2 | Capital Redemption Reserve | - | - |
| 3 | Share Premium | 141,594.45 | - |
| 4 | Revaluation Reserve | - | - |
| | General Reserves | | |
| 5 | Less: Debit balance in Profit and Loss Account, if any | | |
| | Less: Amount utilized for Buy-back | - | - |
| 6 | Catastrophe Reserve | - | - |
| 7 | Other Reserves (to be specified) | - | - |
| 8 | Balance of profit in Profit and Loss Account | - | - |
| | TOTAL | 141,594 | - |



LIFE INSURANCE

FORM L-11-BORROWINGS SCHEDULE

BORROWINGS

| | Particulars | As at September 30, 2010 | As at September 30, 2009 |
|---|--------------------------|--------------------------|--------------------------|
| | | (Rs.'000). | (Rs.'000). |
| 1 | Debentures/ Bonds | - | - |
| 2 | Banks | - | - |
| 3 | Financial Institutions | - | - |
| 4 | Others (to be specified) | - | - |
| | TOTAL | - | - |



FORM L-12-INVESTMENTS SHAREHOLDERS SCHEDULE

INVESTMENTS-SHAREHOLDERS

(Rs.'000)

| | Particulars | As at September 30, 2010 | As at September 30, 2009 |
|---|--|--------------------------|--------------------------|
| | LONG TERM INVESTMENTS | | |
| 1 | Government securities and Government guaranteed bonds including Treasury Bills | 552,435 | 445,036 |
| 2 | Other Approved Securities | - | - |
| 3 | Other Investments | | |
| | (a) Shares | | |
| | (aa) Equity | - | - |
| | (bb) Preference | - | - |
| | (b) Mutual Funds | - | - |
| | (c) Derivative Instruments | - | - |
| | (d) Debentures/ Bonds | - | - |
| | (e) Other Securities (to be specified) | | |
| | Commercial Paper / Certificate of Deposits | - | - |
| | Deposits with Bank | - | - |
| | (f) Subsidiaries | - | - |
| | Investment Properties-Real Estate | - | - |
| 4 | Investments in Infrastructure and Social Sector | 249,180 | 203,197 |
| 5 | Other than Approved Investments | - | - |
| | SHORT TERM INVESTMENTS | | |
| 1 | Government securities and Government guaranteed bonds including Treasury Bills | 27,400 | 70,897 |
| 2 | Other Approved Securities | - | - |
| 3 | Other Investments | - | - |
| | (a) Shares | - | - |
| | (aa) Equity | - | - |
| | (bb) Preference | - | - |
| | (b) Mutual Funds | 45,902 | 21,964 |
| | (c) Derivative Instruments | - | - |
| | (d) Debentures/ Bonds | - | - |
| | (e) Other Securities (to be specified) | | |
| | Deposits with Bank | 6,000 | - |
| | (f) Subsidiaries | - | - |
| | Investment Properties-Real Estate | - | - |
| 4 | Investments in Infrastructure and Social Sector | 30,265 | 20,000 |
| 5 | Other than Approved Investments | 32,506 | - |
| | TOTAL | 943,688 | 761,094 |

FORM L-13-INVESTMENTS POLICYHOLDERS SCHEDULE

INVESTMENTS-POLICYHOLDERS

(Rs.'000)

| | | As at September 30, 2010 | | | | | As at September 30, 2009 | | | | |
|---|---|----------------------------|------------|---|-----------------------|---------------|-------------------------------|------------|---|-----------------------|--------------|
| | Particulars | Non Participating Policies | | Non Participating Linked (Refer Annexures to Revenue Account) | | Total | Non Participating Policies | | Non Participating Linked (Refer Annexures to) | | Total |
| | | Individual Life | Group Life | Individual Life | Individual Pension | | Individual Life | Group Life | Individual Life | Individual Pension | |
| | LONG TERM INVESTMENTS | | | | | | | | | | |
| 1 | Government securities and Government guaranteed bonds including Treasury Bills | 4,862 | - | - | - | 4,862 | 965 | - | - | - | 965 |
| 2 | Other Approved Securities | - | - | - | - | - | - | - | - | - | - |
| 3 | (a) Shares | - | - | - | - | - | - | - | - | - | - |
| | (aa) Equity | - | - | - | - | - | - | - | - | - | - |
| | (bb) Preference | - | - | - | - | - | - | - | - | - | - |
| | (b) Mutual Funds | - | - | - | - | - | - | - | - | - | - |
| | (c) Derivative Instruments | - | - | - | - | - | - | - | - | - | - |
| | (d) Debentures/ Bonds | - | - | - | - | - | - | - | - | - | - |
| | (e) Other Securities (to be specified) | - | - | - | - | - | - | - | - | - | - |
| | Commercial Paper / Certificate of Deposits | - | - | - | - | - | - | - | - | - | - |
| | Deposits with Bank | - | - | - | - | - | - | - | - | - | - |
| | (f) Subsidiaries | - | - | - | - | - | - | - | - | - | - |
| | (g) Investment Properties-Real Estate | - | - | - | - | - | - | - | - | - | - |
| 4 | Investments in Infrastructure and Social Sector | 2,000 | - | 2,000 | - | 4,000 | - | - | - | - | - |
| 5 | Other than Approved Investments | - | - | - | - | - | - | - | - | - | - |
| | SHORT TERM INVESTMENTS | | | | | | | | | | |
| 1 | Government securities and Government guaranteed bonds including Treasury Bills | - | - | - | - | - | - | - | - | - | - |
| 2 | Other Approved Securities | - | - | - | - | - | - | - | - | - | - |
| 3 | (a) Shares | - | - | - | - | - | - | - | - | - | - |
| | (aa) Equity | - | - | - | - | - | - | - | - | - | - |
| | (bb) Preference | - | - | - | - | - | - | - | - | - | - |
| | (b) Mutual Funds | - | - | - | - | - | - | - | - | - | - |
| | (c) Derivative Instruments | - | - | - | - | - | - | - | - | - | - |
| | (d) Debentures/ Bonds | - | - | - | - | - | - | - | - | - | - |
| | (e) Other Securities (to be specified) | - | - | - | - | - | - | - | - | - | - |
| | Commercial Paper / Certificate of eposits [Market Value Rs Nil] | - | - | - | - | - | - | - | - | - | - |
| | Deposits with Bank | 2,000 | 250 | - | 250 | 2,500 | - | - | 2,100 | - | 2,100 |
| | (f) Subsidiaries | - | - | - | - | - | - | - | - | - | - |
| | (g) Investment Properties-Real Estate | - | - | - | - | - | - | - | - | - | - |
| 4 | Investments in Infrastructure and Social Sector | 3,519 | - | 6,033 | 503 | 10,055 | - | - | - | - | - |
| 5 | Other than Approved Investments | - | - | - | - | - | - | - | - | - | - |
| | TOTAL | 12,381 | 250 | 8,033 | 753 | 21,417 | 965 | - | 2,100 | - | 3,065 |

FORM L-14-ASSETS HELD TO COVER LINKED LIABILITIES SCHEDULE

ASSETS HELD TO COVER LINKED LIABILITIES

(Rs.'000)

| | Particulars | As at September 30, 2010 | | | As at September 30, 2009 | | |
|---|--|--------------------------|--------------------|----------------|--------------------------|--------------------|----------------|
| | | Individual Life | Individual Pension | Total | Individual Life | Individual Pension | Total |
| | LONG TERM INVESTMENTS | | | | | | |
| 1 | Government securities and Government guaranteed bonds including Treasury Bills | 41,121 | 16,214 | 57,335 | 8,069 | 6,700 | 14,770 |
| 2 | Other Approved Securities | - | - | - | - | - | - |
| 3 | (a) Shares | - | - | - | - | - | - |
| | (aa) Equity | 222,318 | 145,541 | 367,859 | 30,439 | 23,933 | 54,372 |
| | (bb) Preference | - | - | - | - | - | - |
| | (b) Mutual Funds | - | - | - | - | - | - |
| | (c) Derivative Instruments | - | - | - | - | - | - |
| | (d) Debentures/ Bonds | - | - | - | - | - | - |
| | (e) Other Securities - Fixed Deposits | 6,850 | 8,750 | 15,600 | - | - | - |
| | (f) Subsidiaries | - | - | - | - | - | - |
| | (g) Investment Properties-Real Estate | - | - | - | - | - | - |
| 4 | Investments in Infrastructure and Social Sector | 19,327 | 8,348 | 27,675 | - | - | - |
| 5 | Other than Approved Investments | 34,567 | 21,944 | 56,511 | 5,636 | 4,731 | 10,367 |
| | SHORT TERM INVESTMENTS | | | | | | |
| 1 | Government securities and Government guaranteed bonds including Treasury Bills | 6,929 | 493 | 7,422 | 5,454 | 10,377 | 15,831 |
| 2 | Other Approved Securities | | | | | | |
| 3 | (a) Shares | - | - | - | - | - | - |
| | (aa) Equity | - | - | - | - | - | - |
| | (bb) Preference | - | - | - | - | - | - |
| | (b) Mutual Funds | 305 | 79 | 384 | 1,275 | 1,114 | 2,389 |
| | (c) Derivative Instruments | - | - | - | - | - | - |
| | (d) Debentures/ Bonds | - | - | - | - | - | - |
| | (e) Other Securities (to be specified) | - | - | - | - | - | - |
| | Deposit with Bank | 23,300 | 7,900 | 31,200 | 4,300 | 2,400 | 6,700 |
| | (f) Subsidiaries | - | - | - | - | - | - |
| | (g) Investment Properties-Real Estate | - | - | - | - | - | - |
| 4 | Investments in Infrastructure and Social Sector | 15,581 | 5,674 | 21,255 | - | - | - |
| 5 | Other than Approved Investments | - | - | - | - | - | - |
| | NET CURRENT ASSETS | | | | | | |
| | Bank Balances | 639 | 388 | 1,027 | 2,124 | 796 | 2,919 |
| | Income accrued on investments | 2,521 | 966 | 3,487 | 447 | 486 | 933 |
| | Payables for purchase of Securities | 9,173 | 4,785 | 13,958 | - | - | - |
| | FMC Payable | (438) | (255) | (693) | (132) | (120) | (252) |
| | Other Payables | (5,986) | (4,498) | (10,484) | (276) | (1,220) | (1,496) |
| | Other Receivable | 1,033 | 670 | 1,703 | 195 | 160 | 355 |
| | TOTAL | 377,240 | 216,999 | 594,239 | 57,532 | 49,358 | 106,888 |

FORM L-15 : LOANS SCHEDULE

LOANS

(Rs.'000).

| | Particulars | As at September 30, 2010 | As at September 30, 2009 |
|---|--|--------------------------|--------------------------|
| 1 | SECURITY-WISE CLASSIFICATION | - | - |
| | <i>Secured</i> | - | - |
| | (a) On mortgage of property | - | - |
| | (aa) In India | - | - |
| | (bb) Outside India | - | - |
| | (b) On Shares, Bonds, Govt. Securities, etc. | - | - |
| | (c) Loans against policies | - | - |
| | (d) Others (to be specified) | - | - |
| | <i>Unsecured</i> | - | - |
| | TOTAL | - | - |
| 2 | BORROWER-WISE CLASSIFICATION | - | - |
| | (a) Central and State Governments | - | - |
| | (b) Banks and Financial Institutions | - | - |
| | (c) Subsidiaries | - | - |
| | (d) Companies | - | - |
| | (e) Loans against policies | - | - |
| | (f) Others (to be specified) | - | - |
| | TOTAL | - | - |
| 3 | PERFORMANCE-WISE CLASSIFICATION | - | - |
| | (a) Loans classified as standard | - | - |
| | (aa) In India | - | - |
| | (bb) Outside India | - | - |
| | (b) Non-standard loans less provisions | - | - |
| | (aa) In India | - | - |
| | (bb) Outside India | - | - |
| | TOTAL | - | - |
| 4 | MATURITY-WISE CLASSIFICATION | - | - |
| | (a) Short Term | - | - |
| | (b) Long Term | - | - |
| | TOTAL | - | - |

FORM L-16 : FIXED ASSETS SCHEDULE

FIXED ASSETS

(Rs. '000)

| Particulars | Cost/ Gross Block | | | | Depreciation | | | | Net Block | |
|-------------------------------------|-------------------|--------------|------------|----------------|-----------------|----------------|--------------------------|---------------|-----------------------------|-----------------------------|
| | Opening | Additions | Deductions | Closing | Up to Last Year | For The Period | On Sales/ Adjustments | To Date | As at September 30, 2010 | As at September 30, 2009 |
| Goodwill | - | - | - | - | - | - | - | - | - | - |
| Intangibles (specify) | 22,324 | 1,929 | - | 24,253 | 9,417 | 4,060 | - | 13,477 | 10,776 | 7,221 |
| Land-Freehold | - | - | - | - | - | - | - | - | - | - |
| Leasehold Property | 27,744 | 233 | - | 27,977 | 6,079 | 2,778 | - | 8,857 | 19,120 | 19,846 |
| Buildings | - | - | - | - | - | - | - | - | - | - |
| Furniture & Fittings | 7,696 | 20 | - | 7,716 | 6,106 | 457 | - | 6,563 | 1,153 | 1,973 |
| Information Technology Equipment | 30,162 | 792 | 126 | 30,828 | 11,975 | 5,164 | 47 | 17,092 | 13,736 | 21,027 |
| Vehicles | - | - | - | - | - | - | - | - | - | - |
| Office Equipment | 9,478 | 566 | - | 10,044 | 3,420 | 1,616 | - | 5,036 | 5,008 | 5,781 |
| Others (Specify nature) | - | - | - | - | - | - | - | - | - | - |
| TOTAL | 97,404 | 3,540 | 126 | 100,818 | 36,997 | 14,075 | 47 | 51,025 | 49,793 | 55,848 |
| Work in progress | - | - | - | - | - | - | - | - | - | 2,939 |
| Grand Total | 97,404 | 3,540 | 126 | 100,818 | 36,997 | 14,075 | 47 | 51,025 | 49,793 | 58,787 |
| PREVIOUS YEAR | 169,216 | 48,816 | 120,628 | 97,404 | 28,836 | 31,283 | 23,122 | 36,997 | 60,408 | |

LIFE INSURANCE

FORM L-17 : CASH AND BANK BALANCE SCHEDULE

CASH AND BANK BALANCES

(Rs.'000).

| | Particulars | As at September 30, 2010 | As at September 30, 2009 |
|---|---|-----------------------------|-----------------------------|
| 1 | Cash (including cheques, drafts and stamps) | 27,000 | 6,973 |
| 2 | Bank Balances | | |
| | (a) Deposit Accounts | | - |
| | (aa) Short-term (due within 12 months of the date of Balance Sheet) | - | - |
| | (bb) Others | - | - |
| | (b) Current Accounts | 18,327 | 6,021 |
| | (c) Others (to be specified) | - | - |
| 3 | Money at Call and Short Notice | | |
| | (a) With Banks | - | - |
| | (b) With other Institutions | - | - |
| 4 | Others (to be specified) | - | - |
| | TOTAL | 45,327 | 12,994 |
| | Balances with non-scheduled banks included in 2 and 3 above | | |
| | CASH & BANK BALANCES | | |
| 1 | In India | 45,327 | 12,994 |
| 2 | Outside India | - | - |
| | TOTAL | 45,327 | 12,994 |

LIFE INSURANCE

FORM L-18-ADVANCE AND OTHER ASSETS SCHEDULE

ADVANCES AND OTHER ASSETS

(Rs. '000)

| | Particulars | As at September 30, 2010 | As at September 30, 2009 |
|---|---|--------------------------|--------------------------|
| | ADVANCES | | |
| 1 | Reserve deposits with ceding companies | - | - |
| 2 | Application money for investments | - | - |
| 3 | Prepayments | 43,348 | 18,879 |
| 4 | Advances to Directors/Officers | - | - |
| 5 | Advance tax paid and taxes deducted at source (Net of provision for taxation) | 1,542 | 1,542 |
| 6 | Others (to be specified) | | |
| | Security Deposits | 74,619 | 48,281 |
| | Advances to employees for travel, etc. | 754 | 585 |
| | TOTAL (A) | 120,263 | 69,287 |
| | OTHER ASSETS | | |
| 1 | Income accrued on investments | 25,209 | 22,580 |
| 2 | Outstanding Premiums | 636 | 212 |
| 3 | Agents' Balances | 1,404 | - |
| 4 | Foreign Agencies Balances | - | - |
| 5 | Due from other entities carrying on insurance business (including reinsures) | 39 | - |
| 6 | Due from subsidiaries/ holding company | - | - |
| 7 | Deposit with Reserve Bank of India [Pursuant to section 7 of Insurance Act, 1938] | - | - |
| 8 | Others (to be specified) | - | - |
| | Seed Capital Contribution towards Unit Linked Funds | 10,484 | 1,496 |
| | Service Tax Unutilized Credit | 56,783 | 28,055 |
| | Receivable from clearing firm | 381 | - |
| | TOTAL (B) | 94,936 | 52,343 |
| | TOTAL (A+B) | 215,199 | 121,630 |

FORM L-19-CURRENT LIABILITIES SCHEDULE

CURRENT LIABILITIES

(Rs.'000)

| | Particulars | As at September 30, 2010 | As at September 30, 2009 |
|----|---|--------------------------|--------------------------|
| 1 | Agents' Balances | 4,776 | 1,552 |
| 2 | Balances due to other insurance companies | 275 | 98 |
| 3 | Deposits held on re-insurance ceded | - | - |
| 4 | Premiums received in advance | 1,051 | 324 |
| 5 | Unallocated premium | 48,984 | 12,971 |
| 6 | Sundry creditors | 8,060 | 11,499 |
| 7 | Due to subsidiaries/ holding company | - | - |
| 8 | Claims Outstanding | 4,201 | 50 |
| 9 | Annuities Due | - | - |
| 10 | Due to Officers/ Directors | - | - |
| 11 | Others (to be specified) | - | - |
| | -Proposal / Policyholder deposits | - | - |
| | -Withholding Tax Deducted at Source | 8,921 | 6,341 |
| | -Accrued Expenses | 230,239 | 108,699 |
| | -Other Statutory liabilities | 6,488 | 3,463 |
| | TOTAL | 312,995 | 144,997 |

LIFE INSURANCE

FORM L-20-PROVISIONS SCHEDULE

PROVISIONS

(Rs.'000)

| | Particulars | As at September 30, 2010 | As at September 30, 2009 |
|---|---|--------------------------|--------------------------|
| 1 | For taxation (less payments and taxes deducted at source) | - | - |
| 2 | For proposed dividends | - | - |
| 3 | For dividend distribution tax | - | - |
| 4 | Others (to be specified) | | |
| | - Provision for Gratuity | 6,138 | 5,378 |
| | - Provision for Leave Encashment | 8,764 | 5,595 |
| | TOTAL | 14,902 | 10,973 |



FORM L-21-MISC EXPENDITURE SCHEDULE

MISCELLANEOUS EXPENDITURE

(To the extent not written off or adjusted)

(Rs.'000)

| | Particulars | As at September 30, 2010 | As at September 30, 2009 |
|---|---|--------------------------|--------------------------|
| | | (Rs.'000). | (Rs.'000). |
| 1 | Discount Allowed in issue of shares/ debentures | | |
| 2 | Others (to be specified) | | |
| | TOTAL | | |



LIFE INSURANCE

FORM L-22 : Analytical Ratios*

Insurer: **DLF Pramerica Life Ins Co. Ltd.**

Date: **30th September 2010**

| Sl.No. | Particular | For the Qtr ended Sept. 30th, 2010 | | Upto the period ended Sept. 30th, 2010 | | For the Qtr ended Sept. 30th, 2009 | | Upto the period ended Sept. 30th, 2009 | |
|---|---|------------------------------------|------------------------|--|------------------------|------------------------------------|------------------------|--|------------------------|
| 1 | New business premium income growth rate - segment wise | 260% | | 257% | | 9950% | | 17963% | |
| | Non Par Individual Life - Non Linked | 3421% | | 2541% | | 1335% | | 2521% | |
| | Non Par Group Life | -100% | | -100% | | | | | |
| | Non Par Individual Life - Linked | 283% | | 315% | | 7124% | | 12174% | |
| | Non Par Individual Pension - Linked | 101% | | 83% | | | | | |
| 2 | Net Retention Ratio | 100% | | 100% | | 100% | | 100% | |
| 3 | Expense of Management to Gross Direct Premium Ratio | 172% | | 184% | | 470% | | 448% | |
| 4 | Commission Ratio (Gross commission paid to Gross Premium) | 11% | | 11% | | 10% | | 9% | |
| 5 | Ratio of policy holder's liabilities to shareholder's funds | 65% | | 65% | | 14% | | 14% | |
| 6 | Growth rate of shareholders' fund | 16.41% | | 16.41% | | -13.83% | | -13.83% | |
| 7 | Ratio of surplus to policyholders' liability | 0% | | 0% | | -221% | | -379% | |
| 8 | Change in net worth | 131,312 | | 131,312 | | (128,457) | | (128,457) | |
| 9 | Profit after tax/Total Income | -91% | | -107% | | -291% | | -260% | |
| 10 | (Total real estate + loans)/(Cash & invested assets) | 0% | | 0% | | 0% | | 0% | |
| 11 | Total investments/(Capital + Surplus) | 55% | | 55% | | 54% | | 54% | |
| 12 | Total affiliated investments/(Capital+ Surplus) | 0% | | 0% | | 0% | | 0% | |
| 13 * | Investment Yield (Gross and Net) | | | | | | | | |
| | Policyholder's Funds: | With Realized Gains | Without Realized Gains | With Realized Gains | Without Realized Gains | With Realized Gains | Without Realized Gains | With Realized Gains | Without Realized Gains |
| | Non-Linked | | | | | | | | |
| | 1.PAR | na | na | na | na | na | na | na | na |
| | 2.Non-PAR | 4.90% | 4.90% | 5.66% | 5.66% | 10.52% | 10.52% | 9.01% | 9.01% |
| | Linked | | | | | | | | |
| | 3.Non-PAR | 25.61% | 25.61% | 27.58% | 27.58% | 20.13% | 20.13% | 11.76% | 11.76% |
| | Shareholder's Fund | 8.26% | 8.26% | 8.22% | 8.22% | 7.41% | 7.41% | 8.61% | 8.61% |
| 14 | Conservation Ratio | 60% | | 53% | | 37% | | 37% | |
| 15 | Persistency Ratio | On Policies | On Premium | On Policies | On Premium | On Policies | On Premium | On Policies | On Premium |
| | For 13th month | 42.11% | 48.05% | 42.11% | 48.05% | na | na | na | na |
| | For 25th month | na | na | na | na | na | na | na | na |
| | For 37th month | na | na | na | na | na | na | na | na |
| | For 49th Month | na | na | na | na | na | na | na | na |
| | for 61st month | na | na | na | na | na | na | na | na |
| 16 | NPA Ratio | Policyholder's Fund | Shareholder's Fund | Policyholder's Fund | Shareholder's Fund | Policyholder's Fund | Shareholder's Fund | Policyholder's Fund | Shareholder's Fund |
| | Gross NPA Ratio | - | - | - | - | - | - | - | - |
| | Net NPA Ratio | - | - | - | - | - | - | - | - |
| Equity Holding Pattern for Life Insurers (Rs in Lakhs) | | | | | | | | | |
| 1 | (a) No. of shares | 271,015,754 | | 271,015,754 | | 162,304,054 | | 162,304,054 | |
| 2 | (b) Percentage of shareholding (Indian / Foreign) | 100% | | 100% | | 100% | | 100% | |
| 3 | (c) % of Government holding (in case of public sector insurance companies) | 0 | | 0 | | 0 | | 0 | |
| 4 | (a) Basic and diluted EPS before extraordinary items (net of tax expense) for the period (not to be annualized) | (2.16) | | (2.16) | | (2.33) | | (2.33) | |
| 5 | (b) Basic and diluted EPS after extraordinary items (net of tax expense) for the period (not to be annualized) | (2.16) | | (2.16) | | (2.33) | | (2.33) | |
| 6 | (iv) Book value per share (Rs) | 3.44 | | 3.44 | | 4.93 | | 4.93 | |

FORM L-24 : Valuation of net liabilities

Insurer: DLF PRAMERICA LIFEINSURANCE CO. LTD.

Date:

30-Sep-10

(Rs in Lakhs)

Valuation of net liabilities

| Sl.No. | Particular | As at September 30, 2010 | As at September 30, 2009 |
|----------|-------------------|--------------------------|--------------------------|
| 1 | Linked | | |
| a | Life | 3,831 | 587 |
| b | General Annuity | - | - |
| c | Pension | 2,176 | 494 |
| d | Health | - | - |
| 2 | Non-Linked | | |
| a | Life | 87 | 1 |
| b | General Annuity | - | - |
| c | Pension | - | - |
| d | Health | - | - |

LIFE INSURANCE

FORM L-25- (i) : Geographical Distribution Channel - Individuals

Insurer:

DLF Pramerica Life Ins Co. Ltd.

FOR THE QTR ENDED SEPTEMBER 30th, 2010

Geographical Distribution of Total Business- Individuals

(Rs in Lakhs)

| Sl.No. | State / Union Territory | Rural | | | | Urban | | | | Total Business | | | |
|---------------|---------------------------|-----------------|--------------|--------------------|------------------------|-----------------|--------------|--------------------|------------------------|-----------------|--------------|--------------------|------------------------|
| | | No. of Policies | No. of Lives | Premium (Rs crore) | Sum Assured (Rs crore) | No. of Policies | No. of Lives | Premium (Rs crore) | Sum Assured (Rs crore) | No. of Policies | No. of Lives | Premium (Rs crore) | Sum Assured (Rs crore) |
| 1 | Andhra Pradesh | 2 | 2 | 0.00 | 0.03 | 12 | 12 | 0.05 | 0.72 | 14 | 14 | 0.05 | 0.75 |
| 2 | Arunachal Pradesh | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Assam | 261 | 260 | 0.34 | 3.24 | 1 | 1 | 0.00 | 0.01 | 262 | 261 | 0.34 | 3.25 |
| 4 | Bihar | 735 | 726 | 0.99 | 7.32 | 19 | 18 | 0.06 | 0.81 | 754 | 744 | 1.05 | 8.13 |
| 5 | Chattisgarh | 9 | 9 | 0.01 | 0.09 | 6 | 6 | 0.01 | 0.05 | 15 | 15 | 0.02 | 0.14 |
| 6 | Goa | - | - | - | - | - | - | - | - | - | - | - | - |
| 7 | Gujarat | 28 | 27 | 0.07 | 1.48 | 436 | 413 | 1.55 | 15.45 | 464 | 440 | 1.62 | 16.94 |
| 8 | Haryana | 96 | 88 | 0.18 | 2.94 | 484 | 453 | 1.58 | 36.36 | 580 | 541 | 1.76 | 39.30 |
| 9 | Himachal Pradesh | 54 | 51 | 0.24 | 2.14 | 33 | 32 | 0.12 | 2.15 | 87 | 83 | 0.36 | 4.29 |
| 10 | Jammu & Kashmir | 4 | 4 | 0.01 | 0.06 | 4 | 4 | 0.02 | 0.49 | 8 | 8 | 0.02 | 0.55 |
| 11 | Jharkhand | 36 | 35 | 0.05 | 0.34 | 2 | 2 | 0.00 | 0.12 | 38 | 37 | 0.05 | 0.45 |
| 12 | Karnataka | 3 | 3 | 0.01 | 0.05 | 100 | 95 | 0.35 | 4.53 | 103 | 98 | 0.36 | 4.58 |
| 13 | Kerala | 1 | 1 | 0.00 | (0.12) | 284 | 274 | 1.10 | 5.43 | 285 | 275 | 1.10 | 5.31 |
| 14 | Madhya Pradesh | 8 | 8 | 0.01 | 0.08 | 75 | 67 | 0.09 | 0.82 | 83 | 75 | 0.10 | 0.89 |
| 15 | Maharashtra | 8 | 8 | 0.01 | 0.49 | 31 | 30 | 0.11 | 0.70 | 39 | 38 | 0.12 | 1.20 |
| 16 | Manipur | - | - | - | - | - | - | - | - | - | - | - | - |
| 17 | Meghalaya | 1 | 1 | 0.00 | 0.01 | - | - | - | - | 1 | 1 | 0.00 | 0.01 |
| 18 | Mizoram | - | - | - | - | - | - | - | - | - | - | - | - |
| 19 | Nagaland | - | - | - | - | - | - | - | - | - | - | - | - |
| 20 | Orissa | 777 | 771 | 1.12 | 10.13 | 8 | 8 | 0.02 | 0.19 | 785 | 779 | 1.14 | 10.33 |
| 21 | Punjab | 784 | 755 | 1.98 | 27.56 | 1,469 | 1,388 | 4.27 | 72.06 | 2,253 | 2,143 | 6.25 | 99.62 |
| 22 | Rajasthan | 188 | 188 | 0.46 | 3.50 | 75 | 65 | 0.25 | 2.27 | 263 | 253 | 0.71 | 5.77 |
| 23 | Sikkim | - | - | - | - | - | - | - | - | - | - | - | - |
| 24 | Tamil Nadu | 163 | 162 | 0.23 | 2.76 | 78 | 76 | 0.19 | 3.46 | 241 | 238 | 0.43 | 6.22 |
| 25 | Tripura | - | - | - | - | 1 | 1 | 0.00 | 0.01 | 1 | 1 | 0.00 | 0.01 |
| 26 | Uttar Pradesh | 287 | 285 | 0.43 | 4.20 | 217 | 204 | 0.63 | 9.80 | 504 | 489 | 1.06 | 13.99 |
| 27 | Uttarakhand | 1 | 1 | 0.00 | 0.04 | 14 | 12 | 0.03 | 1.07 | 15 | 13 | 0.03 | 1.11 |
| 28 | West Bengal | 778 | 770 | 0.90 | 7.00 | 29 | 29 | 0.06 | 1.10 | 807 | 799 | 0.97 | 8.11 |
| 29 | Andaman & Nicobar Islands | - | - | - | - | - | - | - | - | - | - | - | - |
| 30 | Chandigarh | 7 | 7 | 0.01 | 0.15 | 131 | 121 | 0.38 | 7.15 | 138 | 128 | 0.39 | 7.31 |
| 31 | Dadra & Nagarhaveli | - | - | - | - | - | - | - | - | - | - | - | - |
| 32 | Daman & Diu | - | - | - | - | - | - | 0.00 | - | - | - | 0.00 | - |
| 33 | Delhi | 6 | 6 | 0.01 | 0.76 | 540 | 515 | 1.69 | 39.46 | 546 | 521 | 1.70 | 40.22 |
| 34 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - | - |
| 35 | Puducherry | - | - | - | - | - | - | - | - | - | - | - | - |
| COMPANY TOTAL | | 4,237 | 4,168 | 7.06 | 74.26 | 4,049 | 3,826 | 12.58 | 204.19 | 8,286 | 7,994 | 19.64 | 278.46 |

FORM L-25- (ii) : Geographical Distribution Channel - GROUP

Insurer:

DLF Pramerica Life Ins Co. Ltd.

FOR THE QTR ENDED SEPTEMBER 30th, 2010

Geographical Distribution of Total Business- GROUP

(Rs in Lakhs)

| Sl.No. | State / Union Territory | Rural | | | | Urban | | | | Total Business | | | |
|---------------|---------------------------|-----------------|--------------|--------------------|------------------------|-----------------|--------------|--------------------|------------------------|-----------------|--------------|--------------------|------------------------|
| | | No. of Policies | No. of Lives | Premium (Rs crore) | Sum Assured (Rs crore) | No. of Policies | No. of Lives | Premium (Rs crore) | Sum Assured (Rs crore) | No. of Policies | No. of Lives | Premium (Rs crore) | Sum Assured (Rs crore) |
| 1 | Andhra Pradesh | - | - | - | - | - | - | - | - | - | - | - | - |
| 2 | Arunachal Pradesh | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Assam | - | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Bihar | - | - | - | - | - | - | - | - | - | - | - | - |
| 5 | Chattisgarh | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Goa | - | - | - | - | - | - | - | - | - | - | - | - |
| 7 | Gujarat | - | - | - | - | - | - | - | - | - | - | - | - |
| 8 | Haryana | - | - | - | - | - | - | - | - | - | - | - | - |
| 9 | Himachal Pradesh | - | - | - | - | - | - | - | - | - | - | - | - |
| 10 | Jammu & Kashmir | - | - | - | - | - | - | - | - | - | - | - | - |
| 11 | Jharkhand | - | - | - | - | - | - | - | - | - | - | - | - |
| 12 | Karnataka | - | - | - | - | - | - | - | - | - | - | - | - |
| 13 | Kerala | - | - | - | - | - | - | - | - | - | - | - | - |
| 14 | Madhya Pradesh | - | - | - | - | - | - | - | - | - | - | - | - |
| 15 | Maharashtra | - | - | - | - | - | - | - | - | - | - | - | - |
| 16 | Manipur | - | - | - | - | - | - | - | - | - | - | - | - |
| 17 | Meghalaya | - | - | - | - | - | - | - | - | - | - | - | - |
| 18 | Mizoram | - | - | - | - | - | - | - | - | - | - | - | - |
| 19 | Nagaland | - | - | - | - | - | - | - | - | - | - | - | - |
| 20 | Orissa | - | - | - | - | - | - | - | - | - | - | - | - |
| 21 | Punjab | - | - | - | - | - | - | - | - | - | - | - | - |
| 22 | Rajasthan | - | - | - | - | - | - | - | - | - | - | - | - |
| 23 | Sikkim | - | - | - | - | - | - | - | - | - | - | - | - |
| 24 | Tamil Nadu | - | - | - | - | - | - | - | - | - | - | - | - |
| 25 | Tripura | - | - | - | - | - | - | - | - | - | - | - | - |
| 26 | Uttar Pradesh | - | - | - | - | - | - | - | - | - | - | - | - |
| 27 | UttrKhand | - | - | - | - | - | - | - | - | - | - | - | - |
| 28 | West Bengal | - | - | - | - | - | - | - | - | - | - | - | - |
| 29 | Andaman & Nicobar Islands | - | - | - | - | - | - | - | - | - | - | - | - |
| 30 | Chandigarh | - | - | - | - | - | - | - | - | - | - | - | - |
| 31 | Dadra & Nagrahaveli | - | - | - | - | - | - | - | - | - | - | - | - |
| 32 | Daman & Diu | - | - | - | - | - | - | - | - | - | - | - | - |
| 33 | Delhi | - | - | - | - | - | - | - | - | - | - | - | - |
| 34 | Lakshadweep | - | - | - | - | - | - | - | - | - | - | - | - |
| 35 | Puducherry | - | - | - | - | - | - | - | - | - | - | - | - |
| COMPANY TOTAL | | - | - | - | - | - | - | - | - | - | - | - | - |

FORM L-26-INVESTMENT ASSETS(LIFE INSURERS)-3A

Company Name: DLF PRAMERICA LIFE INSURANCE CO. LTD.
 CODE: 140
 Statement as on: 30-Sep-10
 Statement of Investment Assets (Life Insurers)
 (Business within India)

PART - A

Periodicity of Submission: Quarterly

| | | |
|--|--------|-------|
| Total Application as per Balance Sheet (A) | | 34622 |
| Add (B) | | |
| Provisions | Sch-14 | 149 |
| Current Liabilities | Sch-13 | 3116 |
| Less (C) | | |
| Debit Balance in P & L A/c | | 19204 |
| Loans | Sch-09 | - |
| Adv & Other Assets | Sch-12 | 2033 |
| Cash & Bank Balance | Sch-11 | 453 |
| Fixed Assets | Sch-10 | 498 |
| Misc Exp Not Written Off | Sch-15 | - |
| Funds available for Investments | | 15698 |

Reconciliation of Investment Assets

Total Investment Assets (as per Balance Sheet)

Balance Sheet Value of:

- A. Life Fund
- B. Pension & General Annuity Fund
- C. Unit Linked Funds

Rs. Lakhs

| |
|-------|
| 15698 |
| 9756 |
| 0 |
| 5942 |
| 15698 |

NON - LINKED BUSINESS

| A. LIFE FUND | % as per Reg | SH | Balance | FRSM ⁺ | UL-Non Unit Res | PH | | Book Value (SH+PH) F=[b+c+d+e] | Actual % | FVC Amount | Total Fund | Market Value |
|-----------------|---|-------------------|---------|-------------------|-----------------|-----|---------|-----------------------------------|----------|------------|------------|--------------|
| | | | | | | PAR | NON PAR | | | | | |
| 1 | G. Sec | Not Less than 25% | - | 5,798 | - | - | 49 | 5,847 | 60% | - | 5,847 | 5,654 |
| 2 | G.Sec or Other Approved Securities (incl (i) above) | Not Less than 50% | - | - | - | - | - | - | 0% | - | - | - |
| 3 | Investment subject to Exposure Norms | | - | - | - | - | - | - | 0% | - | - | - |
| | a. Housing & Infrastructure | Not Less than 15% | - | 2,794 | 85 | - | 55 | 2,935 | 30% | - | 2,935 | 2,944 |
| | b. i) Approved Investments | Not exceeding 35% | - | 519 | 3 | - | 23 | 544 | 6% | - | 544 | 544 |
| | ii) "Other Investments" not to exceed 15% | | - | 430 | - | - | - | 430 | 4% | - | 430 | 430 |
| TOTAL LIFE FUND | | 100% | - | 9,542 | 88 | - | 126 | 9,756 | 100% | - | 9,756 | 9,572 |

| B. PENSION AND GENERAL ANNUITY FUND | | % as per Reg | PH | | Book Value | Actual % | FVC Amount | Total Fund | Market Value |
|-------------------------------------|---|-------------------|-----|---------|------------|----------|------------|------------|--------------|
| | | | PAR | NON PAR | | | | | |
| 1 | G. Sec | Not Less than 20% | - | - | - | | - | - | - |
| 2 | G.Sec or Other Approved Securities (incl (i) above) | Not Less than 40% | - | - | - | | - | - | - |
| 3 | Balance in Approved investment | Not Exceeding 60% | - | - | - | | - | - | - |
| TOTAL PENSION, GENERAL ANNUITY FUND | | 100% | - | - | - | - | - | - | - |

LINKED BUSINESS

| C. LINKED FUNDS | | % as per Reg | PH | | Total | Fund | Actual % |
|-----------------------------|---------------------|-------------------|-----|---------|-------|------|----------|
| | | | PAR | NON PAR | | | |
| 1 | Approved Investment | Not Less than 75% | - | 5377 | | 5377 | 90% |
| 2 | Other Investments | Not More than 25% | - | 565 | | 565 | 10% |
| TOTAL LINKED INSURANCE FUND | | 100% | - | 5942 | | 5942 | 100% |

CERTIFICATION:

Certified that the information given here in are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

FORM L-27-UNIT LINKED BUSINESS-3A

Unit Linked Insurance Business

PART - B

Company Name: DLF PRAMERICA LIFE INSURANCE CO. LTD.
 CODE: 140
 STATEMENT AS ON: 30-Sep-10
 Periodicity of Submission: Quarterly

Link to Item 'C' of FORM 3A (Part A)

Par / Non-Par

Rs. Lakhs

| PARTICULARS | DEBT FUND | BALANCED FUND | GROWTH FUND | LARGE CAP EQUITY FUND | PENSION DEBT FUND | PENSION BALANCED FUND | PENSION GROWTH FUND | PENSION DYNAMIC EQUITY FUND | Total of All Funds |
|--|-----------|---------------|-------------|-----------------------|-------------------|-----------------------|---------------------|-----------------------------|--------------------|
| Opening Balance (Market Value) | 150 | 899 | 604 | 931 | 177 | 129 | 304 | 939 | 4133 |
| Add: Inflow during the Quarter | 42 | 501 | 227 | 427 | 22 | 39 | 62 | 328 | 1648 |
| Increase / (Decrease) Value of Inv [Net] | 1 | 82 | 91 | 176 | 1 | 10 | 42 | 176 | 580 |
| Less: Outflow during the Quarter | 12 | 210 | 51 | 86 | 10 | 7 | 9 | 33 | 418 |
| TOTAL INVESTIBLE FUNDS (MKT VALUE) | 181 | 1273 | 871 | 1448 | 190 | 170 | 399 | 1410 | 5942 |

| INVESTMENT OF UNIT FUND | DEBT FUND | | BALANCED FUND | | GROWTH FUND | | LARGE CAP EQUITY FUND | | PENSION DEBT FUND | | PENSION BALANCED FUND | | PENSION GROWTH FUND | | PENSION DYNAMIC EQUITY FUND | | Total of All Funds | |
|---|-------------|----------|---------------|----------|-------------|----------|-----------------------|----------|-------------------|----------|-----------------------|----------|---------------------|----------|-----------------------------|----------|--------------------|----------|
| | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual | Actual Inv. | % Actual |
| Approved Investments (>=75%) | | | | | | | | | | | | | | | | | | |
| Government Bonds | 95 | 53% | 231 | 18% | 85 | 10% | 0 | 0% | 78 | 41% | 44 | 26% | 41 | 10% | 0 | 0% | 573 | 10% |
| Corporate Bonds | 7 | 4% | 36 | 3% | 0 | 0% | 0 | 0% | 10 | 5% | 7 | 4% | 0 | 0% | 0 | 0% | 60 | 1% |
| Infrastructure Bonds | 53 | 29% | 165 | 13% | 88 | 10% | 0 | 0% | 59 | 31% | 32 | 18% | 33 | 8% | 0 | 0% | 429 | 7% |
| Equity | 0 | 0% | 516 | 41% | 557 | 64% | 1150 | 79% | 0 | 0% | 55 | 32% | 257 | 64% | 1143 | 81% | 3679 | 62% |
| Money Market | 5 | 3% | 42 | 3% | 22 | 3% | 0 | 0% | 2 | 1% | 0 | 0% | 3 | 1% | 0 | 0% | 74 | 1% |
| Mutual Funds | 0 | 0% | 0 | 0% | 0 | 0% | 3 | 0% | 1 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 4 | 0% |
| Deposit with banks | 28 | 15% | 171 | 13% | 27 | 3% | 76 | 5% | 45 | 24% | 28 | 16% | 28 | 7% | 66 | 5% | 468 | 8% |
| Sub Total (A) | 188 | 104% | 1162 | 91% | 779 | 89% | 1228 | 85% | 194 | 102% | 165 | 97% | 362 | 91% | 1209 | 86% | 5287 | 89% |
| Current Assets: | | | | | | | | | | | | | | | | | | |
| Accrued Interest | 4 | 2% | 14 | 1% | 5 | 1% | 1 | 0% | 4 | 2% | 2 | 1% | 2 | 0% | 1 | 0% | 33 | 1% |
| Dividend Recievable | 0 | 0% | 0 | 0% | 0 | 0% | 1 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 1 | 0% | 2 | 0% |
| Bank Balance | 0 | 0% | 2 | 0% | 2 | 0% | 2 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 3 | 0% | 10 | 0% |
| Receivable for Unitholders - subs | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| Receivable for Sale of Investments | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| Other Current Assets (for Investments) | 0 | 0% | 38 | 3% | 16 | 2% | 48 | 3% | 0 | 0% | 1 | 0% | 6 | 2% | 48 | 3% | 156 | 3% |
| Less: Current Liabilities | | | | | | | | | | | | | | | | | | |
| Payable for Investments | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| Payable for Unitholders - reds | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| Fund Mgmt Charges Payable | 0 | 0% | 1 | 0% | 1 | 0% | 2 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 2 | 0% | 7 | 0% |
| Other Current Liabilities (for Investments) | 12 | 6% | 23 | 2% | 17 | 2% | 8 | 1% | 9 | 5% | 8 | 5% | 11 | 3% | 17 | 1% | 105 | 2% |
| Sub Total (B) | -7 | -4% | 29 | 2% | 5 | 1% | 43 | 3% | -4 | -2% | -5 | -3% | -3 | -1% | 33 | 2% | 90 | 2% |
| Other Investments (<=25%) | | | | | | | | | | | | | | | | | | |
| Corporate Bonds | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| Infrastructure Bonds | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| Equity | 0 | 0% | 82 | 6% | 87 | 10% | 177 | 12% | 0 | 0% | 11 | 6% | 40 | 10% | 169 | 12% | 565 | 10% |
| Money Market | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| Mutual funds | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% | 0 | 0% |
| Sub Total (C) | 0 | 0% | 82 | 6% | 87 | 10% | 177 | 12% | 0 | 0% | 11 | 6% | 40 | 10% | 169 | 12% | 565 | 10% |
| Total (A + B + C) | 181 | 100% | 1273 | 100% | 871 | 100% | 1448 | 100% | 190 | 100% | 170 | 100% | 399 | 100% | 1410 | 100% | 5942 | 100% |
| Fund Carried Forward (as per LB 2) | 181 | | 1273 | | 871 | | 1448 | | 190 | | 170 | | 399 | | 1410 | | 5942 | |

FORM L-28-ULIP-NAV-3A

Company Name: DLF PRAMERICA LIFE INSURANCE CO. LTD.

PART - C

CODE: 140

[Link to FORM 3A \(Part B\)](#)

STATEMENT AS ON: 30-Sep-10

Periodicity of Submission: Quarterly

| No | Name of the Scheme | Assets Held on the above date | NAV as on the above date | NAV as per LB 2 | Previous Qtr NAV | 2nd Previous Qtr NAV | 3rd Previous Qtr NAV | Annualised Return/Yield | 3 Year Rolling CAGR |
|----|-----------------------------|-------------------------------|--------------------------|-----------------|------------------|----------------------|----------------------|-------------------------|---------------------|
| 1 | Debt Fund | 181.03 | 11.5879 | 11.5879 | 11.5139 | 11.3372 | 11.0967 | 2.55% | NA |
| 2 | Balanced Fund | 1,272.51 | 14.1738 | 14.1738 | 13.1432 | 12.9418 | 12.4204 | 31.11% | NA |
| 3 | Growth Fund | 870.89 | 15.5556 | 15.5556 | 13.7996 | 13.6572 | 13.0437 | 50.49% | NA |
| 4 | Large Cap Equity Fund | 1,447.97 | 16.5159 | 16.5159 | 14.3055 | 14.1467 | 13.4358 | 61.30% | NA |
| 5 | Pension Debt Fund | 190.16 | 10.6566 | 10.6566 | 10.5790 | 10.4637 | 10.2693 | 2.91% | NA |
| 6 | Pension Balanced Fund | 170.32 | 13.5924 | 13.5924 | 12.7290 | 12.4704 | 11.9756 | 26.91% | NA |
| 7 | Pension Growth Fund | 399.35 | 18.4451 | 18.4451 | 16.3950 | 16.1690 | 15.4790 | 49.61% | NA |
| 8 | Pension Dynamic Equity Fund | 1,410.17 | 19.9976 | 19.9976 | 17.3015 | 17.1527 | 16.2288 | 61.82% | NA |
| | Total | 5,942.39 | | | | | | | |

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

FORM L-29 : Detail regarding debt securities - Life

Insurer:

DLF PRAMERICA LIFE INSURANCE CO. LTD.

Date:

30-Sep-10

| (Rs in Lakhs) | MARKET VALUE | | | | Book Value | | | |
|--|---------------------------|------------------------------|----------------------|------------------------------|---------------------------|------------------------------|----------------------|------------------------------|
| | As at 30th September 2010 | as % of total for this class | As at 30th June 2010 | as % of total for this class | As at 30th September 2010 | as % of total for this class | As at 30th June 2010 | as % of total for this class |
| Break down by credit rating | | | | | | | | |
| AAA rated | 2,944 | 100% | 3,224 | 100% | 2,935 | 100% | 3,167 | 100% |
| AA or better | | | | | | | | |
| Rated below AA but above A | | | | | | | | |
| Rated below A but above B | | | | | | | | |
| Any other | | | | | | | | |
| Total | 2,944 | 100% | 3,224 | 100% | 2,935 | 100% | 3,167 | 100% |
| BREAKDOWN BY RESIDUAL MATURITY | | | | | | | | |
| Up to 1 year | 402 | 14% | 427 | 13% | 403 | 14% | 427 | 13% |
| more than 1 year and upto 3 years | 935 | 32% | 754 | 23% | 940 | 32% | 751 | 24% |
| More than 3 years and up to 7 years | 1,506 | 51% | 2,043 | 63% | 1,492 | 51% | 1,989 | 63% |
| More than 7 years and up to 10 years | 100 | 3% | | 0% | 100 | 3% | | 0% |
| More than 10 years and up to 15 years | | | | | | | | |
| More than 15 years and up to 20 years | | | | | | | | |
| Above 20 years | | | | | | | | |
| Breakdown by type of the issuer | | | | | | | | |
| a. Central Government | 2,429 | 83% | 2,705 | 84% | 2,435 | 83% | 2,667 | 84% |
| b. State Government | | 0% | | 0% | | 0% | | 0% |
| c. Corporate Securities | 514 | 17% | 519 | 16% | 500 | 17% | 500 | 16% |
| Total | 2,944 | 100% | 3,224 | | 2,935 | 100% | 3,167 | |

FORM L-29 : Detail regarding debt securities - Linked

| | | | | | | | | |
|---------------------------------------|---------------------------------------|------------------------------|----------------------|------------------------------|---------------------------|------------------------------|----------------------|------------------------------|
| Insurer: | DLF PRAMERICA LIFE INSURANCE CO. LTD. | | | | Date: | 30-Sep-10 | | |
| (Rs in Lakhs) | MARKET VALUE | | | | Book Value | | | |
| | As at 30th September 2010 | as % of total for this class | As at 30th June 2010 | as % of total for this class | As at 30th September 2010 | as % of total for this class | As at 30th June 2010 | as % of total for this class |
| Break down by credit rating | | | | | | | | |
| AAA rated | 489 | 100% | 102 | 100% | 490 | 100% | 102 | 100% |
| AA or better | | | | | | | | |
| Rated below AA but above A | | | | | | | | |
| Rated below A but above B | | | | | | | | |
| Any other | | | | | | | | |
| Total | 489 | 100% | 10186% | 100% | 490 | 100% | 10165% | 100% |
| | | | | | | | | |
| BREAKDOWN BY RESIDUAL MATURITY | | | | | | | | |
| Up to 1 year | 213 | 43% | | 0% | 214 | 44% | | 0% |
| more than 1 year and upto 3 years | 60 | 12% | | 0% | 60 | 12% | | 0% |
| More than 3 years and up to 7 years | 116 | 24% | 10186% | 100% | 116 | 24% | | 0% |
| More than 7 years and up to 10 years | 100 | 21% | | 0% | 100 | 20% | | 0% |
| More than 10 years and up to 15 years | | | | | | | | |
| More than 15 years and up to 20 years | | | | | | | | |
| Above 20 years | | | | | | | | |
| | | | | | | | | |
| Breakdown by type of the issuer | | | | | | | | |
| a. Central Government | 489 | 100% | 10186% | 100% | 490 | 100% | 10165% | 100% |
| b. State Government | | 0% | | 0% | | 0% | | 0% |
| c. Corporate Securities | | 0% | | 0% | | 0% | | 0% |
| Total | 489 | 100% | | | 490 | 100% | | |

FORM L-30 : Related Party Transactions

Insure DLF PRAMERICA LIFE INSURANCE CO. LTD.

Date: 30-Sep-10

(Rs in Lakhs)

| Sl.No. | Name of the Related Party | Nature of Relationship with the Company | Description of Transactions / Categories | Consideration paid / received* | | | |
|--------|--|---|--|--|--|--|---|
| | | | | For the quarter ended September 30, 2010 | Up to the Quarter ended September 30, 2009 | Corresponding quarter of the preceeding year | up to the Quarter of the prceeding year |
| 1 | DLF Limited | Holding Co. | Equity Infusion | 867 | 20,055 | 1,869 | 12,010 |
| 2 | DLF Services Ltd. | Fellow Subsidiary | maintenance & electricity exps | 55 | 67 | 10 | 20 |
| 3 | DLF Cyber City Developer Ltd. | Fellow Subsidiary | maintenance & electricity exps | 10 | 22 | - | - |
| 4 | DLF Cyber City Developer Ltd. | Fellow Subsidiary | rent | 89 | 178 | 89 | 178 |
| 5 | Prudential International Insurance Holdings Ltd. | Shareholders with Significant influence | Equity infusion | 305 | 7,046 | 657 | 4,220 |
| 6 | Prudential International Insurance Holdings Ltd. | Shareholders with Significant influence | Share Premium | 1,416 | 1,416 | - | - |

DLF Pramerica
LIFE INSURANCE

FORM L-31 : LNL - 6 : Board of Directors & Key Person

Insurer: **DLF PRAMERICA LIFE INSURANCE CO. LTD.**

Date: **30-Sep-10**

BOD and Key Person information

| Sl. No. | Name of person | Role/designation | Details of change in the period |
|---------|--------------------------|-------------------------|---------------------------------|
| 2 | Mr. A S Minocha | Chairman | |
| 3 | Mr. Timothy Edward Feige | Director | |
| 5 | Mr. Sriram Khattar | Director | |
| 6 | Mr. Saurabh Chawla | Director | |
| 8 | Mr. Gaurav Monga | Director | |
| 9 | Mr. Anil Baijal | Director | |
| 10 | Mr. Pramath Raj Sinha | Director | |
| 11 | Mr. Kapil Mehta | Managing Director & CEO | |
| 12 | Mr. Rajiv Kapahi | Chief Financial Officer | |
| 13 | Mr. Pradeep K Thapliyal | Appointed Actuary | |
| 14 | Ms. Sujata Dutta | Chief Marketing Officer | |
| 15 | Mr. K Sridharan | Head Internal Audit | |
| 17 | Mr. Amit C Patra | Investment Officer | |
| | | | |

LIFE INSURANCE

FORM L-32 : SOLVENCY MARGIN - KT3**STATEMENT OF AVAILABLE SOLVENCY MARGIN AND SOLVENCY RATIO****Name of Insurer:** DLF Pramerica Life Insurance Co. Ltd.**Registration Number:** 140**Date of Registration:** June 27, 2008**Classification:** Business Within India / Total Business

| Item | Description | Notes No.. | Adjusted Value As at : 30-Sep-10 | (Rs in Lakhs) |
|------|---|------------|-------------------------------------|---------------|
| (1) | (2) | (3) | (4) | |
| 01 | Available Assets in Policyholders' Fund: | | 6,156.56 | |
| | Deduct: | | | |
| 02 | Mathematical Reserves | | 6,094.60 | |
| 03 | Other Liabilities | | - | |
| 04 | Excess in Policyholders' Fund (01 - 02 - 03) | | 61.96 | |
| 05 | Available Assets in Shareholders Fund: | | 9,320.22 | |
| | Deduct: | | | |
| 06 | Other Liabilities of Shareholders' Fund | | - | |
| 07 | Excess in Shareholders' Fund (05 - 06) | | 9,320.22 | |
| 08 | Total ASM (04) + (07) | | 9,382.18 | |
| 09 | Total RSM | | 5,000.00 | |
| 10 | Solvency Ratio (ASM/RSM) | | 187.64% | |

Certification:

I, Pradeep Kumar Thapliyal, the Appointed Actuary, certify that the above statements have been prepared in accordance with the section 64VA of the Insurance Act, 1938, and the amounts mentioned therein are true and fair to the best of my knowledge.

FORM L-34 : YIELD ON INVESTMENTS-1 - Life Fund

Company Name: DLF PRAMERICA LIFE INSURANCE CO. LTD.
 CODE: 140
 STATEMENT AS ON: 30-Sep-10
 Statement of Investment and Income on Investment
 Periodicity of Submission: Quarterly

Rs. Lakhs

| No. | Category of Investment | Category Code | Current Quarter | | | | | Year to Date | | | | | Previous Year | | | | |
|-----|---|---------------|------------------|--------------|----------------------|------------------------------|----------------------------|------------------|--------------|----------------------|------------------------------|----------------------------|------------------|--------------|----------------------|------------------------------|----------------------------|
| | | | Investment (Rs.) | | Income on Investment | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment | Gross Yield (%) ¹ | Net Yield (%) ² |
| | | | Book Value | Market Value | | | | Book Value | Market Value | | | | Book Value | Market Value | | | |
| A | CENTRAL GOVERNMENT SECURITIES | | | | 0 | | | | | | | | | | | | |
| | Central Government Bonds | CGSB | 4,508.15 | 4,388.94 | 69.85 | 1.53% | 1.53% | 4,508.15 | 4,388.94 | 155.02 | 3.59% | 3.59% | 4,276.98 | 3,519.45 | 321.71 | 8.66% | 8.66% |
| | Central Government Guaranteed Loans | CGSL | 527.31 | 483.23 | 9.70 | 1.86% | 1.86% | 527.31 | 483.23 | 19.39 | 3.75% | 3.75% | 527.79 | - | 36.50 | 14.86% | 14.86% |
| | Special Deposits | CSPD | - | - | - | | | - | - | - | | | - | - | - | | |
| | Deposit under Section 7 of Insurance Act, 1938 | CDSS | 537.52 | 508.00 | 19.80 | 4.79% | 4.79% | 537.52 | 508.00 | 25.42 | 6.16% | 6.16% | 313.33 | 335.23 | 22.99 | 7.44% | 7.44% |
| | Treasury Bills | CTRB | 274.00 | 274.00 | 6.64 | 3.11% | 3.11% | 274.00 | 274.00 | 6.95 | 1.69% | 1.69% | - | 1,542.23 | 20.92 | | |
| B | STATE GOVERNMENT / OTHER APPROVED SECURITIES / OTHER GUARANTEED SECURITIES | | | | | | | | | | | | | | | | |
| | State Government Bonds | SGGB | - | - | - | | | - | - | - | | | - | - | - | | |
| | State Government Guaranteed Loans | SGGL | - | - | - | | | - | - | - | | | - | - | - | | |
| | Other Approved Securities (excluding Infrastructure / Social Sector Investments) | SGOA | - | - | - | | | - | - | - | | | - | - | - | | |
| | Guaranteed Equity | SGGE | - | - | - | | | - | - | - | | | - | - | - | | |
| C | HOUSING SECTOR INVESTMENTS | | | | | | | | | | | | | | | | |
| | Loans to State Government for Housing | HLSH | - | - | - | | | - | - | - | | | - | - | - | | |
| | Loans to State Government for Fire Fighting Equipments | HLSF | - | - | - | | | - | - | - | | | - | - | - | | |
| | Term Loan - HUDCO | HTLH | - | - | - | | | - | - | - | | | - | - | - | | |
| | Term Loan to institutions accredited by NHB | HTLN | - | - | - | | | - | - | - | | | - | - | - | | |
| | TAXABLE BONDS OF | | | | | | | | | | | | | | | | |
| | Bonds / Debentures issued by HUDCO | HTHD | - | - | - | | | - | - | - | | | - | - | - | | |
| | Bonds / Debentures issued by NHB | HTDN | 440.00 | 439.78 | 2.37 | 1.08% | 1.08% | 440.00 | 439.78 | 2.37 | 1.08% | 1.08% | - | - | - | | |
| | Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act | HTDA | - | - | - | | | - | - | - | | | - | - | - | | |
| | TAX FREE BONDS | | | | | | | | | | | | | | | | |
| | Bonds / Debentures issued by HUDCO | HFHD | - | - | - | | | - | - | - | | | - | - | - | | |
| | Bonds / Debentures issued by NHB | HFDN | - | - | - | | | - | - | - | | | - | - | - | | |
| | Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act | HFDA | - | - | - | | | - | - | - | | | - | - | - | | |

FORM L-34 : YIELD ON INVESTMENTS-1 - Life Fund

Company Name: DLF PRAMERICA LIFE INSURANCE CO. LTD.

CODE: 140

STATEMENT AS ON: 30-Sep-10

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs. Lakhs

| No. | Category of Investment | Category Code | Current Quarter | | | | | Year to Date | | | | | Previous Year | | | | |
|-----|---|---------------|------------------|--------------|----------------------|------------------------------|----------------------------|------------------|--------------|----------------------|------------------------------|----------------------------|------------------|--------------|----------------------|------------------------------|----------------------------|
| | | | Investment (Rs.) | | Income on Investment | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment | Gross Yield (%) ¹ | Net Yield (%) ² |
| | | | Book Value | Market Value | | | | Book Value | Market Value | | | | Book Value | Market Value | | | |
| D | INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS | 0 | - | - | - | | | - | - | - | 0.00% | 0.00% | - | - | - | 0.00% | 0.00% |
| | Infrastructure/ Social Sector - Other Approved Securities | ISAS | 100.00 | 100.32 | 1.69 | 3.43% | 3.43% | 100.00 | 100.32 | 1.69 | 3.43% | 3.43% | - | - | - | | |
| | TAXABLE BONDS OF | | | | | | | | | | | | | | | | |
| | Infrastructure / Social Sector - PSU - Debentures / Bonds | IPTD | 1,895.00 | 1,889.15 | 51.32 | 2.28% | 2.28% | 1,895.00 | 1,889.15 | 113.78 | 5.56% | 5.56% | 2,313.79 | 1,751.55 | 162.77 | 8.38% | 8.38% |
| | Infrastructure/ Social Sector - Other Corporate Securities (Approved investments) - Debentures/ Bonds | ICTD | 500.00 | 514.32 | 11.66 | 2.36% | 2.36% | 500.00 | 514.32 | 23.19 | 4.75% | 4.75% | 500.00 | - | 29.52 | 12.55% | 12.55% |
| | Infrastructure / Social Sector - Term Loans (with Charge) | ILWC | - | - | - | | | - | - | - | | | - | - | - | | |
| | TAX FREE BONDS | | | | | | | | | | | | | | | | |
| | Infrastructure / Social Sector - PSU - Debentures / Bonds | IPFD | - | - | - | | | - | - | - | | | - | - | - | | |
| | Infrastructure/ Social Sector - Other Corporate Securities (Approved investments) - Debentures/ Bonds | ICFD | - | - | - | | | - | - | - | | | - | - | - | | |
| E | APPROVED INVESTMENTS | | | | | | | | | | | | | | | | |
| | ACTIVELY TRADED | | | | | | | | | | | | | | | | |
| | PSU - (Approved investment) - Equity shares - quoted | EAEQ | - | - | - | | | - | - | - | | | - | - | - | | |
| | Corporate Securities (Approved investment) - Equity shares (Ordinary)- quoted | EACE | - | - | - | | | - | - | - | | | - | - | - | | |
| | THINLY TRADED/ UNQUOTE | | | | | | | | | | | | | | | | |
| | PSU - (Approved investment) - Equity shares - quoted | ETPE | - | - | - | | | - | - | - | | | - | - | - | | |
| | Corporate Securities (Approved investment) - Equity shares-quoted | ETCE | - | - | - | | | - | - | - | | | - | - | - | | |
| | Corporate Securities (Approved Investment) - Equity - Unquoted | EENQ | - | - | - | | | - | - | - | | | - | - | - | | |
| | Corporate Securities - Bonds - (Taxable) | EPBT | - | - | - | | | - | - | - | | | - | - | - | | |
| | Corporate Securities - Bonds - (Tax Free) | EPBF | - | - | - | | | - | - | - | | | - | - | - | | |
| | Corporate Securities (Approved Investment) - Preference Shares | EPNQ | - | - | - | | | - | - | - | | | - | - | - | | |
| | Corporate Securities (Approved investment) - Investment in Subsidiaries | ECIS | - | - | - | | | - | - | - | | | - | - | - | | |
| | Corporate Securities (Approved investment) - Debentures | ECOS | - | - | - | | | - | - | - | | | - | - | - | | |
| | Corporate Securities (Approved Investment) - Derivative Instruments | ECDI | - | - | - | | | - | - | - | | | - | - | - | | |
| | Investment properties - Immovable | EINP | - | - | - | | | - | - | - | | | - | - | - | | |
| | Loans - Policy Loans | ELPL | - | - | - | | | - | - | - | | | - | - | - | | |
| | Loans - Secured Loans - Mortgage of Property in India (Term Loan) | ELMI | - | - | - | | | - | - | - | | | - | - | - | | |

FORM L-34 : YIELD ON INVESTMENTS-1 - Life Fund

Company Name: DLF PRAMERICA LIFE INSURANCE CO. LTD.
 CODE: 140
 STATEMENT AS ON: 30-Sep-10
 Statement of Investment and Income on Investment
 Periodicity of Submission: Quarterly

Rs. Lakhs

| No. | Category of Investment | Category Code | Current Quarter | | | | | Year to Date | | | | | Previous Year | | | | |
|-------|---|---------------|------------------|--------------|----------------------|------------------------------|----------------------------|------------------|--------------|----------------------|------------------------------|----------------------------|------------------|--------------|----------------------|------------------------------|----------------------------|
| | | | Investment (Rs.) | | Income on Investment | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment | Gross Yield (%) ¹ | Net Yield (%) ² |
| | | | Book Value | Market Value | | | | Book Value | Market Value | | | | Book Value | Market Value | | | |
| E | APPROVED INVESTMENTS | | | | | | | | | | | | | | | | |
| | Loans - Secured Loans - Mortgage of Property outside India (Term Loan) | ELMO | - | - | - | | | - | - | - | | | - | - | - | | |
| | Deposits - Deposit with scheduled banks | ECDB | 85.00 | 85.00 | 0.52 | 0.32% | 0.32% | 85.00 | 85.00 | 4.25 | 2.62% | 2.62% | 244.00 | 201.00 | 7.64 | 3.50% | 3.50% |
| | Deposits - Money at call and short notice with banks /Repo | ECMR | - | - | - | | | - | - | - | | | - | - | - | | |
| | CCIL (Approved Investment) - CBLO | ECBO | - | - | - | | | - | - | - | | | - | - | - | | |
| | Bills Re-Discounting | ECBR | - | - | - | | | - | - | - | | | - | - | - | | |
| | Commercial Papers issued by All India Financial Institutions rated Very Strong or more | ECCP | - | - | - | | | - | - | - | | | - | - | - | | |
| | Application Money | ECAM | - | - | - | | | - | - | - | | | - | - | - | | |
| | Deposit with Primary Dealers duly recognised by Reserve Bank of India | EDPD | - | - | - | | | - | - | - | | | - | - | - | | |
| | Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks | EUPD | - | - | - | | | - | - | - | | | - | - | - | | |
| | Perpetual Debt Instruments of Tier I & II Capital issued by Non-PSU Banks | EPPD | - | - | - | | | - | - | - | | | - | - | - | | |
| | Perpetual Non-Cum. P. Shares & Redeemable Cumulative P. Shares of Tier I & II Capital issued by PSU Banks | EUPS | - | - | - | | | - | - | - | | | - | - | - | | |
| | Perpetual Non-Cum. P. Shares & Redeemable Cumulative P. Shares of Tier I & II Capital issued by Non-PSU Banks | EPPS | - | - | - | | | - | - | - | | | - | - | - | | |
| | MF - Gilt / G Sec / Liquid Schemes | EGMF | 459.02 | 459.02 | 3.65 | 2.28% | 2.28% | 459.02 | 459.02 | 7.12 | 3.06% | 3.06% | 342.84 | 328.26 | 16.99 | 5.20% | 5.20% |
| | | | | | | | | | | | | | | | | | |
| F | OTHER INVESTMENTS | | | | | | | | | | | | | | | | |
| | Bonds - PSU - Taxable | OBPT | - | - | - | | | - | - | - | | | - | - | - | | |
| | Bonds - PSU - Tax Free | OBPF | - | - | - | | | - | - | - | | | - | - | - | | |
| | Equity Shares (incl Co-op Societies) | OESH | - | - | - | | | - | - | - | | | - | - | - | | |
| | Equity Shares (PSUs & Unlisted) | OEPU | - | - | - | | | - | - | - | | | - | - | - | | |
| | Equity Shares (incl. Equity related Instruments) - Promoter Group | OEPG | - | - | - | | | - | - | - | | | - | - | - | | |
| | Debentures | OLDB | - | - | - | | | - | - | - | | | - | - | - | | |
| | Debentures / Bonds/ CPs / Loans etc. - (Promoter Group) | ODPG | - | - | - | | | - | - | - | | | - | - | - | | |
| | Commercial Papers | OACP | - | - | - | | | - | - | - | | | - | - | - | | |
| | Preference Shares | OPSH | - | - | - | | | - | - | - | | | - | - | - | | |
| | Venture Fund | OVNF | - | - | - | | | - | - | - | | | - | - | - | | |
| | Short term Loans (Unsecured Deposits) | OSLU | 104.84 | 104.84 | - | | | 104.84 | 104.84 | - | | | 7.76 | 72.72 | - | | |
| | Term Loans (without Charge) | OTLW | - | - | - | | | - | - | - | | | - | - | - | | |
| | Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes | OMGS | 325.06 | 325.06 | 2.71 | 3.49% | 3.49% | 325.06 | 325.06 | 5.52 | 2.58% | 2.58% | - | 231.43 | 6.02 | 3.73% | 3.73% |
| | Mutual Funds - (under Insurer's Promoter Group) | OMPG | - | - | - | | | - | - | - | | | - | - | - | | |
| | Derivative Instruments | OCDI | - | - | - | | | - | - | - | | | - | - | - | | |
| | Securitized Assets | OPSA | - | - | - | | | - | - | - | | | - | - | - | | |
| | Investment properties - Immovable | OIPI | - | - | - | | | - | - | - | | | - | - | - | | |
| | | | | | | | | | | | | | | | | | |
| TOTAL | | | 9,755.89 | 9,571.64 | 179.93 | 1.86% | 1.86% | 9,755.89 | 9,571.64 | 364.71 | 4.07% | 4.07% | 8,526.50 | 7,981.86 | 625.07 | 7.91% | 7.91% |

FORM L-34 : YIELD ON INVESTMENTS-1 - Linked Fund

Company Name: DLF PRAMERICA LIFE INSURANCE CO. LTD.
 CODE: 140
 STATEMENT AS ON: 30-Sep-10
 Statement of Investment and Income on Investment
 Periodicity of Submission: Quarterly

Rs. Lakhs

| No. | Category of Investment | Category Code | Current Quarter | | | | | Year to Date | | | | | Previous Year | | | | |
|-----|---|---------------|------------------|--------------|----------------------|------------------------------|----------------------------|------------------|--------------|----------------------|------------------------------|----------------------------|------------------|--------------|----------------------|------------------------------|----------------------------|
| | | | Investment (Rs.) | | Income on Investment | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment | Gross Yield (%) ¹ | Net Yield (%) ² |
| | | | Book Value | Market Value | | | | Book Value | Market Value | | | | Book Value | Market Value | | | |
| A | CENTRAL GOVERNMENT SECURITIES | | | | | | | | | | | | | | | | |
| | Central Government Bonds | CGSB | 573.34 | 573.34 | 3.64 | 0.65% | 0.65% | 573.34 | 573.34 | 13.77 | 2.63% | 2.63% | 162.45 | 486.53 | 14.57 | 4.59% | 4.59% |
| | Central Government Guaranteed Loans | CGSL | - | - | - | | | - | - | - | | | - | - | - | | |
| | Special Deposits | CSPD | - | - | - | | | - | - | - | | | - | - | - | | |
| | Deposit under Section 7 of Insurance Act, 1938 | CDSS | - | - | - | | | - | - | - | | | - | - | - | | |
| | Treasury Bills | CTRB | 74.22 | 74.22 | 0.28 | 0.76% | 0.76% | 74.22 | 74.22 | 0.28 | 0.76% | 0.76% | - | - | - | | |
| B | STATE GOVERNMENT / OTHER APPROVED SECURITIES / OTHER GUARANTEED SECURITIES | | | | | | | | | | | | | | | | |
| | State Government Bonds | SGGB | - | - | - | | | - | - | - | | | - | - | - | | |
| | State Government Guaranteed Loans | SGGL | - | - | - | | | - | - | - | | | - | - | - | | |
| | Other Approved Securities (excluding Infrastructure / Social Sector Investments) | SGOA | - | - | - | | | - | - | - | | | - | - | - | | |
| | Guaranteed Equity | SGGE | - | - | - | | | - | - | - | | | - | - | - | | |
| C | HOUSING SECTOR INVESTMENTS | | | | | | | | | | | | | | | | |
| | Loans to State Government for Housing | HLSH | - | - | - | | | - | - | - | | | - | - | - | | |
| | Loans to State Government for Fire Fighting Equipments | HLSF | - | - | - | | | - | - | - | | | - | - | - | | |
| | Term Loan - HUDCO | HTLH | - | - | - | | | - | - | - | | | - | - | - | | |
| | Term Loan to institutions accredited by NHB | HTLN | - | - | - | | | - | - | - | | | - | - | - | | |
| | TAXABLE BONDS OF | | | | | | | | | | | | | | | | |
| | Bonds / Debentures issued by HUDCO | HTHD | - | - | - | | | - | - | - | | | - | - | - | | |
| | Bonds / Debentures issued by NHB | HTDN | 59.97 | 59.97 | 0.29 | 0.98% | 0.98% | 59.97 | 59.97 | 0.29 | 0.98% | 0.98% | - | - | - | | |
| | Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act | HTDA | - | - | - | | | - | - | - | | | - | - | - | | |
| | TAX FREE BONDS | | | | | | | | | | | | | | | | |
| | Bonds / Debentures issued by HUDCO | HFHD | - | - | - | | | - | - | - | | | - | - | - | | |
| | Bonds / Debentures issued by NHB | HFDN | - | - | - | | | - | - | - | | | - | - | - | | |
| | Bonds / Debentures issued by Authority constituted under any Housing / Building Scheme approved by Central / State / any Authority or Body constituted by Central / State Act | HFDA | - | - | - | | | - | - | - | | | - | - | - | | |

FORM L-34 : YIELD ON INVESTMENTS-1 - Linked Fund

Company Name: DLF PRAMERICA LIFE INSURANCE CO. LTD.

CODE: 140

STATEMENT AS ON: 30-Sep-10

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Rs. Lakhs

| No. | Category of Investment | Category Code | Current Quarter | | | | | Year to Date | | | | | Previous Year | | | | |
|-----|---|---------------|------------------|--------------|----------------------|------------------------------|----------------------------|------------------|--------------|----------------------|------------------------------|----------------------------|------------------|--------------|----------------------|------------------------------|----------------------------|
| | | | Investment (Rs.) | | Income on Investment | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment | Gross Yield (%) ¹ | Net Yield (%) ² |
| | | | Book Value | Market Value | | | | Book Value | Market Value | | | | Book Value | Market Value | | | |
| D | INFRASTRUCTURE / SOCIAL SECTOR INVESTMENTS | 0 | - | - | | | | - | - | - | | | - | - | - | 0.00% | 0.00% |
| | Infrastructure/ Social Sector - Other Approved Securities | ISAS | 100.32 | 100.32 | 2.00 | 4.08% | 4.08% | 100.32 | 100.32 | 2.00 | 4.08% | 4.08% | - | - | - | | |
| | TAXABLE BONDS OF | | | | | | | | | | | | | | | | |
| | Infrastructure / Social Sector - PSU - Debentures / Bonds | IPTD | 329.01 | 329.01 | 4.17 | 1.43% | 1.43% | 329.01 | 329.01 | 8.24 | 3.77% | 3.77% | - | 116.56 | 0.30 | 0.52% | 0.52% |
| | Infrastructure/ Social Sector - Other Corporate Securities (Approved investments) - Debentures/ Bonds | ICTD | - | - | - | | | - | - | - | | | - | - | - | | |
| | Infrastructure / Social Sector - Term Loans (with Charge) | ILWC | - | - | - | | | - | - | - | | | - | - | - | | |
| | TAX FREE BONDS | | | | | | | | | | | | | | | | |
| | Infrastructure / Social Sector - PSU - Debentures / Bonds | IPFD | - | - | - | | | - | - | - | | | - | - | - | | |
| | Infrastructure/ Social Sector - Other Corporate Securities (Approved investments) - Debentures/ Bonds | ICFD | - | - | - | | | - | - | - | | | - | - | - | | |
| | | | | | | | | | | | | | | | | | |
| E | APPROVED INVESTMENTS | | | | | | | | | | | | | | | | |
| | ACTIVELY TRADED | | | | | | | | | | | | | | | | |
| | PSU - (Approved investment) - Equity shares - quoted | EAEQ | 659.02 | 659.02 | 81.28 | 17.47% | 17.47% | 659.02 | 659.02 | 101.80 | 24.93% | 24.93% | 22.01 | 259.35 | 25.92 | 20.29% | 20.29% |
| | Corporate Securities (Approved investment) - Equity shares (Ordinary)- quoted | EACE | 3,019.56 | 3,019.56 | 419.54 | 17.77% | 17.77% | 3,019.56 | 3,019.56 | 432.22 | 20.96% | 20.96% | 67.89 | 1,537.26 | 185.67 | 26.16% | 26.16% |
| | THINLY TRADED/ UNQUOTE | | | | | | | | | | | | | | | | |
| | PSU - (Approved investment) - Equity shares - quoted | ETPE | - | - | - | | | - | - | - | | | - | - | - | | |
| | Corporate Securities (Approved investment) - Equity shares-quoted | ETCE | - | - | - | | | - | - | - | | | - | - | - | | |
| | Corporate Securities (Approved Investment) - Equity - Unquoted | EENQ | - | - | - | | | - | - | - | | | - | - | - | | |
| | Corporate Securities - Bonds - (Taxable) | EPBT | - | - | - | | | - | - | - | | | - | - | - | | |
| | Corporate Securities - Bonds - (Tax Free) | EPBF | - | - | - | | | - | - | - | | | - | - | - | | |
| | Corporate Securities (Approved Investment) - Preference Shares | EPNQ | - | - | - | | | - | - | - | | | - | - | - | | |
| | Corporate Securities (Approved investment) - Investment in Subsidiaries | ECIS | - | - | - | | | - | - | - | | | - | - | - | | |
| | Corporate Securities (Approved investment) - Debentures | ECOS | - | - | - | | | - | - | - | | | - | - | - | | |
| | Corporate Securities (Approved Investment) - Derivative Instruments | ECDI | - | - | - | | | - | - | - | | | - | - | - | | |
| | Investment properties - Immovable | EINP | - | - | - | | | - | - | - | | | - | - | - | | |
| | Loans - Policy Loans | ELPL | - | - | - | | | - | - | - | | | - | - | - | | |
| | Loans - Secured Loans - Mortgage of Property in India (Term Loan) | ELMI | - | - | - | | | - | - | - | | | - | - | - | | |

FORM L-34 : YIELD ON INVESTMENTS-1 - Linked Fund

Company Name: DLF PRAMERICA LIFE INSURANCE CO. LTD.
 CODE: 140
 STATEMENT AS ON: 30-Sep-10
 Statement of Investment and Income on Investment
 Periodicity of Submission: Quarterly

Rs. Lakhs

| No. | Category of Investment | Category Code | Current Quarter | | | | | Year to Date | | | | | Previous Year | | | | |
|-------|---|---------------|------------------|--------------|----------------------|------------------------------|----------------------------|------------------|--------------|----------------------|------------------------------|----------------------------|------------------|--------------|----------------------|------------------------------|----------------------------|
| | | | Investment (Rs.) | | Income on Investment | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment | Gross Yield (%) ¹ | Net Yield (%) ² | Investment (Rs.) | | Income on Investment | Gross Yield (%) ¹ | Net Yield (%) ² |
| | | | Book Value | Market Value | | | | Book Value | Market Value | | | | Book Value | Market Value | | | |
| E | APPROVED INVESTMENTS | | | | | | | | | | | | | | | | |
| | Loans - Secured Loans - Mortgage of Property outside India (Term Loan) | ELMO | - | - | - | | | - | - | - | | | - | - | - | | |
| | Deposits - Deposit with scheduled banks | ECDB | 468.00 | 468.00 | 5.65 | 1.44% | 1.44% | 468.00 | 468.00 | 10.48 | 2.85% | 2.85% | 38.00 | 277.00 | 6.31 | 4.09% | 4.09% |
| | Deposits - Money at call and short notice with banks /Repo | ECMR | - | - | - | | | - | - | - | | | - | - | - | | |
| | CCIL (Approved Investment) - CBLO | ECBO | - | - | - | | | - | - | - | | | - | - | - | | |
| | Bills Re-Discounting | ECBR | - | - | - | | | - | - | - | | | - | - | - | | |
| | Commercial Papers issued by All India Financial Institutions rated Very Strong or more | ECCP | - | - | - | | | - | - | - | | | - | - | - | | |
| | Application Money | ECAM | - | - | - | | | - | - | - | | | - | - | - | | |
| | Deposit with Primary Dealers duly recognised by Reserve Bank of India | EDPD | - | - | - | | | - | - | - | | | - | - | - | | |
| | Perpetual Debt Instruments of Tier I & II Capital issued by PSU Banks | EUPD | - | - | - | | | - | - | - | | | - | - | - | | |
| | Perpetual Debt Instruments of Tier I & II Capital issued by Non-PSU Banks | EPPD | - | - | - | | | - | - | - | | | - | - | - | | |
| | Perpetual Non-Cum. P. Shares & Redeemable Cumulative P. Shares of Tier I & II Capital issued by PSU Banks | EUPS | - | - | - | | | - | - | - | | | - | - | - | | |
| | Perpetual Non-Cum. P. Shares & Redeemable Cumulative P. Shares of Tier I & II Capital issued by Non-PSU Banks | EPPS | - | - | - | | | - | - | - | | | - | - | - | | |
| | MF - Gilt / G Sec / Liquid Schemes | EGMF | 3.85 | 3.85 | 1.66 | 2.18% | 2.18% | 3.85 | 3.85 | 3.85 | 7.73% | 7.73% | | 66.74 | 1.12 | 3.40% | 3.40% |
| | Net Current Assets (Only in respect of ULIP Business) | ENCA | 89.99 | 89.99 | - | | | 89.99 | 89.99 | - | | | (59.92) | 50.54 | - | | |
| F | OTHER INVESTMENTS | | | | | | | | | | | | | | | | |
| | Bonds - PSU - Taxable | OBPT | - | - | - | | | - | - | - | | | - | - | - | 0.00% | 0.00% |
| | Bonds - PSU - Tax Free | OBPF | - | - | - | | | - | - | - | | | - | - | - | | |
| | Equity Shares (incl Co-op Societies) | OESH | 549.85 | 549.85 | 86.90 | 20.27% | 20.27% | 549.85 | 549.85 | 96.26 | 26.00% | 26.00% | 20.57 | 286.84 | 28.57 | 20.50% | 20.50% |
| | Equity Shares (PSUs & Unlisted) | OEPD | 15.26 | 15.26 | 0.51 | 3.38% | 3.38% | 15.26 | 15.26 | (0.79) | -9.84% | -9.84% | 4.08 | - | 1.98 | 39.90% | 39.90% |
| | Equity Shares (incl. Equity related Instruments) - Promoter Group | OEPG | - | - | - | | | - | - | - | | | - | - | - | | |
| | Debentures | OLDB | - | - | - | | | - | - | - | | | - | - | - | | |
| | Debentures / Bonds/ CPs / Loans etc. - (Promoter Group) | ODPG | - | - | - | | | - | - | - | | | - | - | - | | |
| | Commercial Papers | OACP | - | - | - | | | - | - | - | | | - | - | - | | |
| | Preference Shares | OPSH | - | - | - | | | - | - | - | | | - | - | - | 0.00% | 0.00% |
| | Venture Fund | OVNF | - | - | - | | | - | - | - | | | - | - | - | 0.00% | 0.00% |
| | Short term Loans (Unsecured Deposits) | OSLU | - | - | - | | | - | - | - | | | - | - | - | 0.00% | 0.00% |
| | Term Loans (without Charge) | OTLW | - | - | - | | | - | - | - | | | - | - | - | 0.00% | 0.00% |
| | Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes | OMGS | - | - | - | | | - | - | - | | | - | - | 0.82 | 3.84% | 3.84% |
| | Mutual Funds - (under Insurer's Promoter Group) | OMPG | - | - | - | | | - | - | - | | | - | - | - | | |
| | Derivative Instruments | OCDI | - | - | - | | | - | - | - | | | - | - | - | | |
| | Securitised Assets | OPSA | - | - | - | | | - | - | - | | | - | - | - | | |
| | Investment properties - Immovable | OIPI | - | - | - | | | - | - | - | | | - | - | - | | |
| TOTAL | | | 5,942.39 | 5,942.39 | 605.91 | 12.80% | 12.80% | 5,942.39 | 5,942.39 | 668.40 | 4.07% | 4.07% | 255.08 | 3,080.81 | 265.26 | 7.91% | 7.91% |

FORM L-35 : DOWNGRADING OF INVESTMENTS - 2 - Life

Company Name: DLF PRAMERICA LIFE INSURANCE CO. LTD.

CODE: 140

Statement as on: 30-Sep-10

Statement of Down Graded Investments

Periodicity of Submission: Quarterly

Rs. Lakhs

| No | Name of the Security | COI | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
|----|--|-----|--------|------------------|---------------|----------------|---------------|-------------------|---------|
| A. | <u>During the Quarter ¹</u> | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| B. | <u>As on Date ²</u> | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |

NIL

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

FORM L-35 : DOWNGRADING OF INVESTMENTS - 2 - Linked

Company Name: DLF PRAMERICA LIFE INSURANCE CO. LTD.
 CODE: 140
 Statement as on: 30-Sep-10
 Statement of Down Graded Investments
 Periodicity of Submission: Quarterly

| Rs. Lakhs | | | | | | | | | |
|-----------|--|-----|--------|------------------|---------------|----------------|---------------|-------------------|---------|
| No | Name of the Security | COI | Amount | Date of Purchase | Rating Agency | Original Grade | Current Grade | Date of Downgrade | Remarks |
| A. | <u>During the Quarter ¹</u> | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| B. | <u>As on Date ²</u> | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |

CERTIFICATION

Certified that the information given herein are correct and complete to the best of my knowledge and belief and nothing has been concealed or suppressed.

FORM L-36 : Premium and number of lives covered by policy type

Insurer: DLF Pramerica Life Ins Co. Ltd.

Date: 30th September 2010

| Sl. No | Particulars | For the Qtr Q2 '2010-11 | | | | For the Qtr Q2 '2009-10 | | | | Upto the period '2010-11 | | | | Upto the Period '2009-10 | | | |
|--------|--|-------------------------|-----------------|--------------|----------------------------------|-------------------------|-----------------|--------------|----------------------------------|--------------------------|-----------------|--------------|----------------------------------|--------------------------|-----------------|--------------|----------------------------------|
| | | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable |
| 1 | First year Premium | | | | | | | | | | | | | | | | |
| | i Individual Single Premium- (ISP) | | | | | | | | | | | | | | | | |
| | From 0-10000 | 18.97 | 29 | 29 | 41.94 | - | - | - | - | 57.40 | 95 | 88 | 128.87 | - | - | - | - |
| | From 10,000-25,000 | 3.21 | 2 | 2 | 2.20 | 0.44 | - | - | - | 7.02 | 4 | 4 | 2.20 | 0.49 | - | - | - |
| | From 25001-50,000 | 3.06 | 5 | 4 | 0.50 | - | - | - | - | 9.56 | 7 | 6 | 3.80 | - | - | - | - |
| | From 50,001- 75,000 | - | - | - | - | - | - | - | - | - | - | - | - | 0.05 | - | - | - |
| | From 75,000-100,000 | 4.70 | 4 | 4 | 6.09 | - | - | - | - | 4.70 | 4 | 4 | 6.09 | - | - | - | - |
| | From 1,00,001 -1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 1,25,000 | 0.40 | - | - | - | - | - | - | - | 1.40 | - | - | - | 2.00 | - | - | - |
| | ii Individual Single Premium (ISPA)- Annuity | | | | | | | | | | | | | | | | |
| | From 0-50000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001-150,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 150,001- 2,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 2,00,001-250,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 2,50,001 -3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | iii Group Single Premium (GSP) | | | | | | | | | | | | | | | | |
| | From 0-10000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 10,000-25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 25001-50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001- 75,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 75,000-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001 -1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | iv Group Single Premium- Annuity- GSPA | | | | | | | | | | | | | | | | |
| | From 0-50000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001-150,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 150,001- 2,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 2,00,001-250,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 2,50,001 -3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | v Individual non Single Premium- INSP | | | | | | | | | | | | | | | | |
| | From 0-10000 | 89.19 | 1,351 | 1,326 | 4,420.12 | 116.46 | 1,700 | 1,638 | 3,409.08 | 139.28 | 1,967 | 1,921 | 8,105.53 | 188.37 | 3,003 | 2,863 | 6,034.15 |
| | From 10,000-25,000 | 850.62 | 5,480 | 5,382 | 12,026.69 | 155.98 | 1,154 | 1,121 | 3,229.25 | 1,578.50 | 10,299 | 10,012 | 24,938.26 | 278.13 | 2,341 | 2,252 | 4,993.87 |
| | From 25001-50,000 | 410.98 | 978 | 937 | 5,447.63 | 117.52 | 375 | 360 | 1,447.88 | 722.45 | 1,765 | 1,681 | 10,341.66 | 206.39 | 740 | 700 | 2,536.93 |
| | From 50,001- 75,000 | 63.58 | 112 | 112 | 964.68 | 21.04 | 52 | 51 | 287.95 | 101.12 | 164 | 163 | 1,375.99 | 37.63 | 113 | 112 | 413.82 |
| | From 75,000-100,000 | 193.09 | 195 | 185 | 2,082.43 | 53.04 | 75 | 73 | 251.07 | 323.09 | 322 | 306 | 3,334.78 | 121.93 | 186 | 179 | 554.26 |
| | From 1,00,001 -1,25,000 | 19.91 | 13 | 13 | 267.65 | 6.38 | 10 | 10 | 86.05 | 29.22 | 20 | 20 | 582.18 | 14.09 | 25 | 24 | 392.95 |
| | Above Rs. 1,25,000 | 306.73 | 117 | 102 | 2,585.73 | 73.38 | 36 | 32 | 246.33 | 529.64 | 215 | 180 | 4,762.35 | 130.85 | 61 | 55 | 562.83 |

FORM L-36 : Premium and number of lives covered by policy type

Insurer: DLF Pramerica Life Ins Co. Ltd.

Date: 30th September 2010

| Sl. No | Particulars | For the Qtr Q2 '2010-11 | | | | For the Qtr Q2 '2009-10 | | | | Upto the period '2010-11 | | | | Upto the Period '2009-10 | | | |
|--------|--|-------------------------|-----------------|--------------|----------------------------------|-------------------------|-----------------|--------------|----------------------------------|--------------------------|-----------------|--------------|----------------------------------|--------------------------|-----------------|--------------|----------------------------------|
| | | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable |
| 1 | First year Premium | | | | | | | | | | | | | | | | |
| | vi Individual non Single Premium- Annuity- INSPA | | | | | | | | | | | | | | | | |
| | From 0-50000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001-150,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 150,001- 2,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 2,00,001-250,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 2,50,001 -3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 3,00,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | vii Group Non Single Premium (GNSP) | | | | | | | | | | | | | | | | |
| | From 0-10000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 10,000-25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 25001-50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001- 75,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 75,000-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001 -1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | viii Group Non Single Premium- Annuity- GNSPA | | | | | | | | | | | | | | | | |
| | From 0-10000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 10,000-25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 25001-50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001- 75,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 75,000-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001 -1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |

FORM L-36 : Premium and number of lives covered by policy type

Insurer: DLF Pramerica Life Ins Co. Ltd.

Date: 30th September 2010

| Sl. No | Particulars | For the Qtr Q2 '2010-11 | | | | For the Qtr Q2 '2009-10 | | | | Upto the period '2010-11 | | | | Upto the Period '2009-10 | | | |
|--------|-------------------------|-------------------------|-----------------|--------------|----------------------------------|-------------------------|-----------------|--------------|----------------------------------|--------------------------|-----------------|--------------|----------------------------------|--------------------------|-----------------|--------------|----------------------------------|
| | | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable | Premium | No. of Policies | No. of Lives | Sum Insured, Wherever applicable |
| 2 | Renewal Premium | | | | | | | | | | | | | | | | |
| | i Individual | | | | | | | | | | | | | | | | |
| | From 0-10000 | 49.43 | 798 | 744 | 1,405.73 | 0.03 | 1 | 1 | 2.00 | 74.48 | 1,196 | 1,041 | 2,118.76 | 0.03 | 1 | 1 | 2.00 |
| | From 10,000-25,000 | 97.66 | 1,330 | 966 | 2,282.35 | 0.25 | 1 | 1 | 5.00 | 164.65 | 2,150 | 1,321 | 3,262.68 | 0.25 | 1 | 1 | 5.00 |
| | From 25001-50,000 | 74.13 | 492 | 322 | 1,936.47 | 1.25 | 3 | 3 | 12.50 | 119.28 | 771 | 436 | 2,414.56 | 1.25 | 3 | 3 | 12.50 |
| | From 50,001- 75,000 | 14.70 | 124 | 68 | 310.21 | 0.50 | 1 | 1 | 2.50 | 21.44 | 194 | 80 | 366.61 | 0.50 | 1 | 1 | 2.50 |
| | From 75,000-100,000 | 40.01 | 86 | 70 | 313.79 | - | - | - | - | 62.56 | 153 | 113 | 425.79 | - | - | - | - |
| | From 1,00,001 -1,25,000 | 3.45 | 32 | 13 | 440.10 | - | - | - | - | 5.79 | 54 | 17 | 455.40 | - | - | - | - |
| | Above Rs. 1,25,000 | 47.72 | 34 | 24 | 372.53 | - | - | - | - | 76.43 | 55 | 33 | 546.63 | - | - | - | - |
| | ii Individual- Annuity | | | | | | | | | | | | | | | | |
| | From 0-10000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 10,000-25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 25001-50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001- 75,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 75,000-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001 -1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | iii Group | | | | | | | | | | | | | | | | |
| | From 0-10000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 10,000-25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 25001-50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001- 75,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 75,000-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001 -1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | iv Group- Annuity | | | | | | | | | | | | | | | | |
| | From 0-10000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 10,000-25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 25001-50,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 50,001- 75,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 75,000-100,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | From 1,00,001 -1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |
| | Above Rs. 1,25,000 | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - | - |

FORM L-37 : BUSINESS ACQUISITION THROUGH DIFFERENT CHANNELS (GROUP)

Insurer: DLF Pramerica Life Ins Co. Ltd.

Date: 30th September 2010

(Rs in Lakhs)

Business Acquisition through different channels (Group)

| Sl.No. | Channels | For the Qtr Q2 '2010-11 | | | For the Qtr Q2 '2009-10 | | | Upto the period '2010-11 | | | Upto the Period '2009-10 | | |
|--------|--------------------------|-------------------------|----------------------|---------|-------------------------|----------------------|---------|--------------------------|----------------------|---------|--------------------------|----------------------|---------|
| | | No. of Policies | No. of Lives covered | Premium | No. of Policies | No. of Lives covered | Premium | No. of Policies | No. of Lives covered | Premium | No. of Policies | No. of Lives covered | Premium |
| 1 | Individual agents | - | - | - | - | - | - | - | - | - | - | - | - |
| 2 | Corporate Agents-Banks | - | - | - | - | - | - | - | - | - | - | - | - |
| 3 | Corporate Agents -Others | - | - | - | - | - | - | - | - | - | - | - | - |
| 4 | Brokers | - | - | - | - | - | - | - | - | - | - | - | - |
| 5 | Micro Agents | - | - | - | - | - | - | - | - | - | - | - | - |
| 6 | Direct Business | - | - | - | - | - | - | - | - | - | - | - | - |
| | Total(A) | - | - | - | - | - | - | - | - | - | - | - | - |
| 1 | Referral (B) | - | - | - | - | - | - | - | - | - | - | - | - |
| | Grand Total (A+B) | - | - | - | - | - | - | - | - | - | - | - | - |



FORM L-38 : Business Acquisition through different channels (Individuals)

Insurer: DLF Pramerica Life Ins Co. Ltd.

Date: 30th September 2010

(Rs in Lakhs)

Business Acquisition through different channels (Individuals)

| Sl.No. | Channels | For the Qtr Q2 '2010-11 | | For the Qtr Q2 '2009-10 | | Upto the period '2010-11 | | Upto the Period '2009-10 | |
|--------|--------------------------|----------------------------|----------|----------------------------|---------|-----------------------------|----------|-----------------------------|---------|
| | | No. of Policies | Premium | No. of Policies | Premium | No. of Policies | Premium | No. of Policies | Premium |
| 1 | Individual agents | 3,632 | 1,099.48 | 1,621 | 264.18 | 6,326 | 1,932.42 | 2,594 | 436.83 |
| 2 | Corporate Agents-Banks | | | | | | | | |
| 3 | Corporate Agents -Others | 1,451 | 302.48 | 681 | 87.58 | 2,896 | 572.21 | 1,107 | 140.32 |
| 4 | Brokers | 161 | 46.90 | | | 251 | 71.32 | | |
| 5 | Micro Agents | | | | | | | | |
| 6 | Direct Business | 3,042 | 515.57 | 1,100 | 192.48 | 5,389 | 927.42 | 2,768 | 402.77 |
| | Total (A) | 8,286 | 1,964 | 3,402 | 544 | 14,862 | 3,503 | 6,469 | 980 |
| 1 | Referral (B) | 24 | 5.21 | | | 74 | 11.42 | | |

DLF Pramerica

LIFE INSURANCE

FORM L-39 : Data on Settlement of Claims

Insurer:

DLF Pramerica Life Insurance Co Ltd

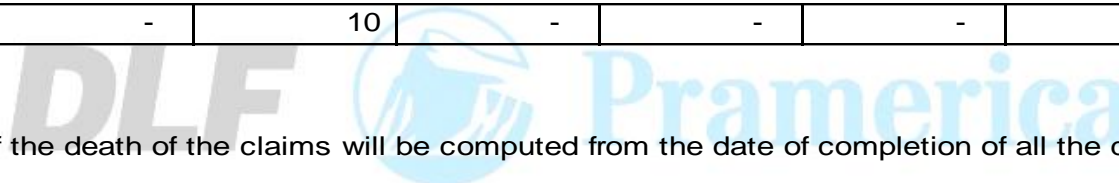
Date:

30-Sep-10

Ageing of Claims*

| Sl.No | Types of Claims | No. of claims paid | | | | | | Total No. of claims paid | Total amount of claims paid |
|-------|-------------------------|-----------------------|---------|--------------|--------------|-------------------|----------|--------------------------|-----------------------------|
| | | On or before maturity | 1 month | 1 - 3 months | 3 - 6 months | 6 months - 1 year | > 1 year | | |
| 1 | Maturity Claims | na | na | na | na | na | na | na | na |
| 2 | Survival Benefit | na | na | na | na | na | na | na | na |
| 3 | for Annuities / Pension | na | na | na | na | na | na | na | na |
| 4 | For Surrender | na | na | na | na | na | na | na | na |
| 5 | Other benefits | na | na | na | na | na | na | na | na |

| | | | | | | | | | |
|---|--------------|---|----|---|---|---|---|----|-----------|
| 1 | Death Claims | - | 10 | - | - | - | - | 10 | 1,270,321 |
|---|--------------|---|----|---|---|---|---|----|-----------|



LIFE INSURANCE

*the ageing of claims, in case of the death of the claims will be computed from the date of completion of all the documentation.

FOR L-40 : Quarterly claims data for Life

Insurer: **DLF Pramerica Life Insurance Co Ltd**

Date: **30-Sep-10**

No. of claims only

| Sl. No. | Claims Experience | For Death | for Maturity | Survival Benefit | For Annuities/ Pension | For Surrender | Other Benefits |
|---------|--|-----------|--------------|------------------|---------------------------|---------------|----------------|
| 1 | Claims O/S at the beginning of the period | 10 | na | na | na | na | na |
| 2 | Claims reported during the period* | 14 | na | na | na | na | na |
| 3 | Claims Settled during the period | 10 | na | na | na | na | na |
| 4 | Claims Repudiated during the period | - | na | na | na | na | na |
| a | Less than 2years from the date of acceptance of risk | - | na | na | na | na | na |
| b | Grater than 2 year from the date of acceptance of risk | - | na | na | na | na | na |
| 5 | Claims Written Back | - | na | na | na | na | na |
| 6 | Claims O/S at End of the period | 14 | na | na | na | na | na |
| | Less than 3months | 8 | na | na | na | na | na |
| | 3 months to 6 months | 6 | na | na | na | na | na |
| | 6months to 1 year | - | na | na | na | na | na |
| | 1year and above | - | na | na | na | na | na |

LIFE INSURANCE

*in case of death- the claims for which all the documentations have been completed .

FORM L-41 : GREIVANCE DISPOSAL

Insurer: **DLF Pramerica Life Insurance Co Ltd**

Date: **30-Sep-10**

(Rs in Lakhs)

GRIEVANCE DISPOSAL

| SI No. | Particulars | Opening Balance * | Additions | Complaints Resolved/ settled | | | Complaints Pending |
|----------|-------------------------------------|-------------------|------------|------------------------------|------------------|-----------|--------------------|
| | | | | Fully Accepted | Partial Accepted | Rejected | |
| 1 | Complaints made by customers | | | | | | |
| a) | Sales Related | 1 | 50 | 18 | 4 | 11 | 18 |
| b) | New Busines Related | 1 | 19 | 13 | 4 | 2 | 1 |
| c) | Policy Servcing related | 1 | 23 | 17 | 2 | 4 | 1 |
| d) | Claim Servicing related | - | - | - | - | - | - |
| e) | Others | - | 21 | 7 | 4 | 7 | 3 |
| | Total Number | 3 | 113 | 55 | 14 | 24 | 23 |

| 2 | Duration wise Pending Status | made by customers | Complaints made by intermediaries | Total |
|----------|-------------------------------------|--------------------------|--|--------------|
| a) | Less than 15 days | 23 | - | 23 |
| b) | Greater than 15 days | - | - | - |
| | Total Number | 23 | - | 23 |

A. How the policy data needed for valuation is accessed?

Data used for valuation is extracted from the Policy administration system as at the last day of the valuation month using data extraction program. For any new product, a change request form (containing the data required for the new products) is provided to the IT function for inclusion of the new product in extraction program. User acceptance test (UAT) is carried on the data extracted from the modified program before using it for valuation. Valuation data files are provided to the actuarial function in CSV format.

B. How the valuation bases are supplied to the system?

Actuarial valuation software VIP itech is used to calculate actuarial liabilities. The assumptions are supplied to the system through excel file.

1. Interest Rates (including Margin of Adverse Deviation)

| | Individual Business | Group business |
|--|---------------------|---------------------------------|
| i) Life Participating Business | Not Applicable | Not Applicable |
| ii) Life- Non-participating Policies | 6.375%-6.5875% | Unearned premium method is used |
| iii) Annuities- Participating policies | Not Applicable | Not Applicable |
| iv) Annuities – Non-participating policies | Not Applicable | Not Applicable |
| v) Annuities- Individual Pension Plan | Not Applicable | Not Applicable |
| vi) Unit Linked | 6.38% | Not Applicable |
| vii) Health Insurance | Not Applicable | Not Applicable |

2. Mortality Rates (including Margin of Adverse Deviation)

| | Individual Business | Group business |
|--|----------------------------|----------------------------------|
| i) Life Participating Business | Not Applicable | Not Applicable |
| ii) Life- Non-participating Policies | 86.25%-115% of LIC 94-96 | Unearned premium method is used. |
| iii) Annuities- Participating policies | Not Applicable | Not Applicable |
| iv) Annuities – Non-participating policies | Not Applicable | Not Applicable |
| v) Annuities- Individual Pension Plan | Not Applicable | Not Applicable |
| vi) Unit Linked | 75% - 143.75% of LIC 94-96 | Not Applicable |
| vii) Health Insurance | Not Applicable | Not Applicable |

3. Expenses

Best estimate per policy maintenance expenses, claim expenses and investment expenses assumptions have been based on projected expenses when Insurer acquires a stable level of business. The best estimate assumptions are further increased by a MAD of 10% of best estimate.

An assumption of 5.5% p.a. for expense inflation was used for scheming future expenses.

4. Bonus Rates

Company does not have any participating products.

5. Policyholder's Reasonable Expectation

Company does not have any participating products.

6. Taxation and Shareholder Transfers

Company does not have any participating business. For non-participating business, no assumption is required for future taxation and shareholder transfers for valuing the policyholder's liabilities.

7. Basis of provisions for Incurred but Not Reported (IBNR)

Company was in very early stage of its operations and did not have any past claim experience to determine IBNR. However, company had kept additional reserve equal to one month risk charges for linked business.

For traditional business, monthly mortality rate for an average age for the inforce portfolio was applied to the Face Amount at Issue to calculate IBNR.

8. Change in Valuation Methods or Bases

There were no changes in valuation methods or bases as compared to last quarter.

Place: Gurgaon

Appointed Actuary : Pradeep Kumar Thapliyal